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In the United States Patent and Trademark Office
Utility Patent Application Transmittal

jc931 U.S. PTO
09/687864
10/13/00

Mailed: 2000, October 13

Express Mail Label #:

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

EK812107235US

Sir:

Please file the following enclosed patent application papers:

Applicant #1: Jeffrey A. Ledbetter

Applicant #2: Martha Hayden-Ledbetter

Title: DNA Vaccines Encoding Antigen Linked to a Domain that binds CD40

- ☒ Fee Transmittal Form
- ☒ Specification, Claims, and Abstract//Total Pages: 21
- ☒ Drawings//Total Pages: 7
- ☒ Declaration//Total Pages 3
- a. Newly executed (original or copy)
b. Date Signed: 2000 Oct. 13
- ☒ Nucleotide and/or amino acid sequence submission
- a. computer readable copy
b. paper copy, identical to computer copy
- ☒ Small Entity Declaration of Inventors.
- ☒ Return receipt postcard addressed to applicant #1.
- ☒ Check for \$380.00 for filing fee for utility patent.
- ☒ Request under MPEP & 707.07(j):
The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

Very respectfully,

Jeffrey A. Ledbetter
Applicant #1 Signature

18798 Ridgefield Rd NW
Correspondence Address

Shoreline, WA 98177

Martha Hayden-Ledbetter
Applicant #2 Signature

18798 Ridgefield Rd N.W.
Address

Shoreline, WA 98177

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jc931 U.S. PTO
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Please type a plus sign (+) inside this box → **+**

PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.
	First Inventor or Application Identifier Jeffrey Ledbetter
	Title DNA Vaccines Encoding Antigen Linked to a Domain that Binds CD40
	Express Mail Label No.

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 21] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Computer Readable Copyb. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 7]	ACCOMPANYING APPLICATION PARTS
4. Oath or Declaration [Total Pages 1] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small><ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).	
13. <input checked="" type="checkbox"/> * Small Entity Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12)	
14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
15. <input type="checkbox"/> Other:	

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label			or <input checked="" type="checkbox"/> Correspondence address below		
<small>(Insert Customer No. or Attach bar code label here)</small>					
Name	Jeffrey A. Ledbetter				
Address	18798 Ridgely Road N.W.				
City	Shoreline	State	Washington	Zip Code	98177-3227
Country	USA	Telephone	(206) 546-0473	Fax	(206) 546-6002

Name (Print/Type)	Jeffrey A. Ledbetter	Registration No. (Attorney/Agent)	
Signature	<i>Jeffrey A. Ledbetter</i>	Date	10/13/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.
 Small Entity payments must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
 See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)**380.00**

Complete if Known

Application Number
 Filing Date
 First Named Inventor **Jeffrey A. Ledbetter**
 Examiner Name
 Group / Art Unit
 Attorney Docket No.

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required
 Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	760	201	380	Utility filing fee	380
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)**380**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
14	-20** = 0	X	
	-3** =	X	
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0**

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**0**

SUBMITTED BY

Name (Print/Type) **Jeffrey A. Ledbetter**

Registration No.
(Attorney/Agent)

Complete (if applicable)

Telephone **(206) 546-0473**

Signature

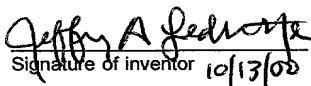
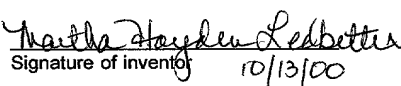
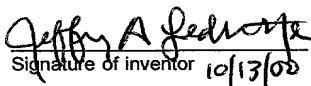
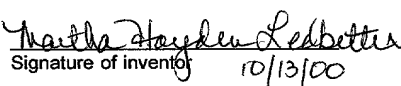
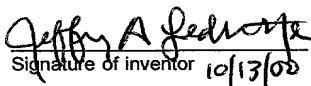
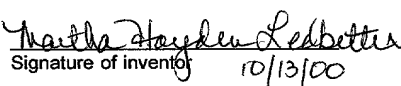
Jeffrey A. Ledbetter

10/13/00

Date

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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR	Docket Number (Optional)									
<p>Applicant, Patentee, or Identifier: <u>Jeffrey A. Ledbetter</u></p> <p>Application or Patent No.: _____</p> <p>Filed or Issued: _____</p> <p>Title: <u>DNA Vaccines Encoding Antigen Linked to a Domain that Binds CD40</u></p> <p>As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:</p> <p><input checked="" type="checkbox"/> the specification filed herewith with title as listed above.</p> <p><input type="checkbox"/> the application identified above.</p> <p><input type="checkbox"/> the patent identified above.</p> <p>I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).</p> <p>Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:</p> <p><input checked="" type="checkbox"/> No such person, concern, or organization exists.</p> <p><input type="checkbox"/> Each such person, concern, or organization is listed below.</p> <p>Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)</p> <p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))</p> <table style="width: 100%; border: none;"><tr><td style="width: 33%; vertical-align: top; padding-bottom: 10px;"><u>Jeffrey A. Ledbetter</u> NAME OF INVENTOR</td><td style="width: 33%; vertical-align: top; padding-bottom: 10px;"><u>Martha Hayden-Ledbetter</u> NAME OF INVENTOR</td><td style="width: 33%; vertical-align: top; padding-bottom: 10px;">_____ NAME OF INVENTOR</td></tr><tr><td style="text-align: center; padding-bottom: 10px;"> Signature of inventor</td><td style="text-align: center; padding-bottom: 10px;"> Signature of inventor</td><td style="text-align: center; padding-bottom: 10px;">_____ Signature of inventor</td></tr><tr><td style="text-align: center; padding-bottom: 10px;"><u>10/13/00</u> Date</td><td style="text-align: center; padding-bottom: 10px;"><u>10/13/00</u> Date</td><td style="text-align: center; padding-bottom: 10px;">_____ Date</td></tr></table>		<u>Jeffrey A. Ledbetter</u> NAME OF INVENTOR	<u>Martha Hayden-Ledbetter</u> NAME OF INVENTOR	_____ NAME OF INVENTOR	 Signature of inventor	 Signature of inventor	_____ Signature of inventor	<u>10/13/00</u> Date	<u>10/13/00</u> Date	_____ Date
<u>Jeffrey A. Ledbetter</u> NAME OF INVENTOR	<u>Martha Hayden-Ledbetter</u> NAME OF INVENTOR	_____ NAME OF INVENTOR								
 Signature of inventor	 Signature of inventor	_____ Signature of inventor								
<u>10/13/00</u> Date	<u>10/13/00</u> Date	_____ Date								

Patent Application of
Jeffrey A. Ledbetter and Martha Hayden Ledbetter
For

**TITLE: DNA VACCINES ENCODING ANTIGEN LINKED TO A DOMAIN
THAT BINDS CD40**

CROSS REFERENCE TO RELATED APPLICATIONS

This application is entitled to the benefit of Provisional Patent Application Ser. # 60/159,690, filed 1999 October 14.

BACKGROUND – FIELD OF INVENTION:

This invention relates to DNA vaccines, specifically to improved DNA vaccines that induce strong antigen-specific humoral and cellular immune responses.

BACKGROUND- DESCRIPTION OF PRIOR ART

DNA immunization, the inoculation of plasmid DNA encoding a microbial or tumor antigen, is a recent addition to vaccine technology (Donnelly J.J. et al, Ann. Rev. Immunol. 15: 617-648, 1997; Letvin N. L., Science 280: 1875-1879, 1998). Both cellular and humoral immune responses occur after DNA vaccination, and protective immunity against microbial challenge is sometimes induced in experimental animals (Ulmer J.B. et al, Vaccine 12: 1541-1544, 1994; Yokoyama M. et al, J. Virol. 69: 2684-2688, 1995; Xiang Z.Q. et al, Virology 199: 132-140, 1994; Sedegah M. et al, Proc. Natl. Acad. Sci. USA 91: 9866-9870, 1994; Montgomery D.L. et al, DNA Cell Biol. 12: 777-783, 1993). T cell responses, including CD8+ cytotoxic T lymphocyte (CTL) and CD4+ T helper cells, can be stimulated by DNA vaccination in response to antigenic peptides presented by class I and class II MHC molecules (Whitton J.L. et al, Vaccine 17: 1612-1619, 1999).

Year	1950	1951	1952	1953	1954	1955	1956	1957	1958	1959	1960	1961	1962	1963	1964	1965	1966	1967	1968	1969	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100																												
Population	110,000	115,000	120,000	125,000	130,000	135,000	140,000	145,000	150,000	155,000	160,000	165,000	170,000	175,000	180,000	185,000	190,000	195,000	200,000	205,000	210,000	215,000	220,000	225,000	230,000	235,000	240,000	245,000	250,000	255,000	260,000	265,000	270,000	275,000	280,000	285,000	290,000	295,000	300,000	305,000	310,000	315,000	320,000	325,000	330,000	335,000	340,000	345,000	350,000	355,000	360,000	365,000	370,000	375,000	380,000	385,000	390,000	395,000	400,000	405,000	410,000	415,000	420,000	425,000	430,000	435,000	440,000	445,000	450,000	455,000	460,000	465,000	470,000	475,000	480,000	485,000	490,000	495,000	500,000	505,000	510,000	515,000	520,000	525,000	530,000	535,000	540,000	545,000	550,000	555,000	560,000	565,000	570,000	575,000	580,000	585,000	590,000	595,000	600,000	605,000	610,000	615,000	620,000	625,000	630,000	635,000	640,000	645,000	650,000	655,000	660,000	665,000	670,000	675,000	680,000	685,000	690,000	695,000	700,000	705,000	710,000	715,000	720,000	725,000	730,000	735,000	740,000	745,000	750,000	755,000	760,000	765,000	770,000	775,000	780,000	785,000	790,000	795,000	800,000	805,000	810,000	815,000	820,000	825,000	830,000	835,000	840,000	845,000	850,000	855,000	860,000	865,000	870,000	875,000	880,000	885,000	890,000	895,000	900,000	905,000	910,000	915,000	920,000	925,000	930,000	935,000	940,000	945,000	950,000	955,000	960,000	965,000	970,000	975,000	980,000	985,000	990,000	995,000	1,000,000

[illegible]

One strategy that has been tested is to prime with a DNA vaccine followed by boosting with protein antigen. However, this approach requires construction of multiple vaccines for the same infection or disease, and depends upon multiple injections given in a precise order. It would be desirable to induce protective immunity without needing

Chemical and genetic approaches to enhance the immune response to DNA vaccines have been studied. Chemical adjuvants with some activity include monophosphoryl lipid A (Sasaki S. et al, *Infect. Immun.* 65: 3520-3528, 1997), saponin QS-21 (Sasaki S et al, *J. Virol.* 72: 4931-4939, 1998), mannan-coated liposomes (Toda S et al, *Immunology* 92: 111-117, 1997), and the aminopeptidase inhibitor ubenimex (Sasaki S et al, *Clin. Exp. Immunol.* 11: 30-36, 1998). Each of these adjuvants modestly enhanced both antibody titers and CTL activity after DNA vaccination in mice. Although the mechanism of action of chemical adjuvants is not fully elucidated, they seem to work by induction of cytokines that amplify responses, by recruitment of macrophages and other lymphoid cells at sites of DNA administration, or by facilitating entry of DNA into host cells (Sasaki S. et al, *Anticancer Research* 18: 3907-3916, 1998). Several genetic approaches to enhancing responses to DNA vaccines have been tested, including administration of a gene encoding a cytokine (IL2, IL12, GM-CSF, TCA3, MIP-1 α) (Chow Y.-H. et al, *J. Virol.* 71: 169-178, 1997; Hwee Lee A. et al, *Vaccine* 17: 473-479, 1998; Tsuji T. et al, *Immunol.* 158: 4008-4014, 1997; Rodriguez D. et al, *Gen. Virol.* 80: 217-223, 1999; Tsuji T. et al, *Immunology* 90: 1-6, 1997; Lu Y. et al, *Clin. Exp. Immunol.* 115: 335-341, 1999) or a costimulatory adhesion receptor (CD86, CD58, CD54) (Tsuji T. et al, *Eur. J. Immunol.* 27: 782-787, 1997; Kim J.J. et al, *J. Clin. Invest.* 103: 869-877, 1999; Iwasaki A. et al, *J. Immunol.* 158: 4591-4601, 1997). Each of these cytokine and adhesion receptor genes increased immune responses to DNA vaccination, with some treatments enhancing CTL generation only, and some enhancing both CTL and antibody production. However, the levels of enhancement of the immune response to DNA vaccination obtained from these approaches are modest and not sustained, so it is important to find additional ways to enhance the immune response to DNA vaccines.

The CD40 receptor must be activated for an effective cellular or humoral immune response after exposure to antigen (Grewal I.S., and Flavell R.A., *Annu. Rev. Immunol* 16: 111-135, 1998). This conclusion is derived from multiple findings, including the phenotype of patients with hyper IgM (HIGM) syndrome that results from CD154

genetic defects (Aruffo A. et al, Cell 72: 291-300,1993; Fuleihan R. et al, Proc. Natl. Acad. Sci. USA 90: 2170-2173,1993; Korthauer U. et al, Nature 361: 539-541,1993), the phenotype of mice with CD40 or CD154 gene disruption (Grewal I.S. et al, Science 273: 1864-1867,1996; Kawabe T. et al, Immunity 1: 167-178,1994; Renshaw B. et al, J. Exp. Med. 180: 1889-1900,1994; Xu J. et al, Immunity 1: 423-431, 1994), and the effects of actively blocking CD40 *in vivo* using inhibitory antibodies to CD154 (Durie F.H. et al, Science 261: 1328-1330,1993; Foy T.M. et al, J. Exp. Med. 178: 1567-1575, 1993; Foy T.M. et al, J. Exp. Med. 180: 157-163,1994; Durie F.H. et al, J. Clin. Invest. 94: 1333-1338, 1994; Gerritsse K. et al, Proc. Nat. Acad. Sci. USA 93: 2499-2504, 1996). CD40 is expressed in several cell lineages, including B cells, dendritic cells, monocytes, epithelial cells, and endothelial cells. CD40 transmits signals for each of these cell types that regulates activation and differentiation (Hollenbaugh D. et al, EMBO J. 11: 4313-4321,1992; Kiener P.A. et al, J. Immunol. 155: 4917-4925,1995; Cella M. et al, J. Exp. Med. 184: 747-752,1996; Galy A.H., and Spits H., J. Immunol. 152: 775-782,1992; Clark E.A., and Ledbetter J.A., Proc. Natl. Acad. Sci. USA 83: 4494-4498, 1986). CD40 is activated by crosslinking during cell to cell contact with cells expressing CD40 ligand (CD154), primarily T cells. While soluble forms of CD154 can stimulate CD40, no attempts have been made to use or modify soluble CD154 to promote immune responses to antigens.

CD40 signals to B cells are required for isotype switching and affinity maturation through somatic mutation (Rousset F. et al, J. Exp. Med. 173: 705-710, 1991). In the absence of CD40 signals, germinal centers, the specialized sites of B cell maturation, are not formed, and B cells are unable to differentiate into IgG producing plasma cells (Foy T.M. et al, J. Exp. Med. 180: 157-163, 1994). Patients with HIGM syndrome are not able to form germinal centers or produce IgG antibodies after antigen challenge, and the same phenotype is seen in knockout mice where CD40 or CD154 is not expressed. The CD40 signal has been shown *in vitro* to promote survival of surface Ig-activated B cells, and to interact with signals from cytokines to induce immunoglobulin isotype switching to IgG, IgA, and IgE production (Holder M.J. et al, Eur. J. Immunol 23: 2368-2371,1993; Jabara H.H. et al, J. Exp. Med. 177: 925-935,1990; Grabstein K.H. et al, J. Immunol. 150: 3141-3147, 1993). In addition, HIGM syndrome patients and CD154 knockout mice have impaired lymphocyte proliferation in response to diphtheria toxoid,

In neonates, insufficient stimulation of CD40 due to low levels of expression of CD154 by activated T cells has been identified as a factor in the inability of infants to produce IgG antibodies towards bacterial antigens (Nonoyama S. et al, J. Clin. Invest. 95: 66-75, 1995; Fuleihan R. et al, Eur. J. Immunol. 24: 1925-1928, 1994; Brugnani D. et al, Eur. J. Immunol. 24: 1919-1924, 1994). This suggests that CD40 signals are not ubiquitous and that highly restricted expression of CD154 may limit the extent of CD40 signaling and thus the magnitude and quality of an immune response. Direct evidence in support of this idea comes from a recent study where a modest increase (1.1-2 fold) in expression of cell surface CD154 in the thymus of mice resulted in a > 10 fold increase in the antigen-specific antibody response (Prez-Melgosa M. et al, J. Immunol. 163: 1123-1127, 1999). Some evidence suggests that CD40 stimulation may be deficient in HIV-1 infected individuals, since HIV gp120 suppressed the expression of CD154 by activated T cells *in vitro*, and production of IL12 is defective in HIV-1 positive individuals (Chirmule N. et al, J. Immunol. 155: 917-924, 1995; Taoufik Y. et al, Blood 89: 2842-2848, 1997; Yoo J. et al, J. Immunol. 157: 1313-1320, 1996; Ito M. et al, AIDS Res. Hum. Retroviruses 14: 845-849, 1998; Benyoucef S. et al, J. Med. Virol. 55: 209-214, 1998). In addition, CD40 stimulation of dendritic cells infected with HIV-1 was found to suppress virus replication, suggesting that transmission of HIV-1 from infected dendritic cells during antigen presentation could be blocked by CD40 signals (McDyer J.F. et al, J. Immunol. 162: 3711-3717, 1999). However, a method for stimulation of CD40 on cells actively presenting antigen to T cells while avoiding toxicity from unregulated CD40 stimulation is needed.

CD40 signals to dendritic cells or B cells causes their differentiation from an antigen uptake function to an antigen processing and presentation function (Sallusto D. et al, J. Exp. Med. 182: 389-400, 1995; Cella M. et al, J. Exp. Med. 184: 747-752, 1996; Faassen A.E. et al, Eur. J. Immunol. 25: 3249-3255, 1995). This shift is accompanied by reduction of the MHC class II intracellular compartment, increased expression of MHC class II on the cell surface, secretion of the Th1 regulatory cytokine IL12 and increased expression of CD86 and CD80. After CD40 activation, dendritic cells and B cells are able to more efficiently present antigen and give a critical costimulatory signal through CD28. The production of IL12 leads to enhanced secretion of IFN γ by T cells and suppression of Th2 cytokine production. The CD40 signal is therefore an important mediator of Th1 cellular immunity and CTL induction. However, selective stimulation of CD40 during antigen presentation is needed to enhance immune responses to vaccination.

In addition to B cells and dendritic cells, CD40 is functionally active on other APC's such as monocytes, where CD40 signals prevent cell death from apoptosis and induce expression of adhesion molecules and production of inflammatory cytokines TNF α and IL8 (Kiener P.A. et al, J. Immunol. 155: 4917-4925, 1995). CD40 has also been reported to be expressed and functionally active on thymic epithelial cells (Galy A.H., and Spits H., J. Immunol. 152: 775-782, 1992) and on many kinds of tumor cells, including carcinomas, melanomas, and lymphomas (Ledbetter J.A. et al, In Leucocyte Typing III: White Cell Differentiation Antigens p. 432-435, 1987; Oxford University Press, Oxford, U.K.; Paulie S. et al, Cancer Immunol. Immunother. 20: 23-28, 1985). In contrast to most normal cells where the CD40 signal enhances survival, in many malignant cells CD40 actively promotes death by apoptosis. Therefore CD40 is functionally active in all cell types that express the receptor, and CD40 signals are central to fundamental processes of survival and differentiation. Because of the widespread expression of functional CD40, localized stimulation of CD40 positive cells that present specific antigen to T cells is desirable so that only APC involved in the specific immune response are activated.

Studies in CD154 knockout mice have confirmed the importance of CD40 activation for the antigen specific priming of T cells. CD154 deficient mice have an

Inhibition of CD40 *in vivo* has been studied in mice using a mAb, MR1, that binds and blocks the CD40 ligand, CD154 (Durie F.H. et al, Science 261: 1328-1330, 1993; Foy T.M. et al, J. Exp. Med. 178: 1567-1575, 1993; Foy T.M. et al, J. Exp. Med. 180: 157-163, 1994; Durie F.H. et al, J. Clin. Invest. 94: 1333-1338, 1994; Gerritsse K. et al, Proc. Nat. Acad. Sci. USA 93: 2499-2504, 1996). These experiments demonstrated that anti-CD154 prevents the induction of autoimmune diseases, including EAE after immunization with myelin basic protein, oophritis after immunization with zona pelucida antigen (ZP3), and spontaneous disease in lupus prone mice (Griggs N.D. et al, J. Exp. Med. 183: 801-807, 1996; Daikh D.I. et al, J. Immunol. 159: 3104-3108, 1997). Anti-CD154 was also effective in preventing both chronic and acute graft versus host (GVH) disease and in preventing rejection of heart allografts after transplantation (Larsen C.P. et al, Nature 381: 434-438, 1996). Thus, CD40 signals are required for T cell responses to antigen, and restriction of the CD40 signal with specific inhibitors is an effective method of limiting T cell priming during an immune response.

Gp160, the product of the HIV-1 *env* gene, is cleaved in the Golgi complex into gp120 and gp41 proteins that remain associated through noncovalent interactions. Most

One attempt to produce a stable, properly folded gp120-gp41 complex was made by altering the cleavage site in gp160 between the gp120 and gp41 domains (Earl P.L. et al, J. Virol. 68: 3015-3026, 1994). By introducing a stop codon before the transmembrane domain of gp41, a soluble molecule composed of gp120 and the extracellular domain of gp41 was produced as a complex that folds properly to bind the CD4 receptor and to express some conformational epitopes. However, this molecule formed dimers and multimers rather than the stable trimers that comprise the native structure of the envelope glycoprotein as revealed in the crystal structure of the gp120 complex.

Three major sites of gp120 have been identified that are involved in cross-neutralization of diverse viral strains (Wyatt R. et al, Nature 393: 705-711, 1998). The V3 domain was found to express linear and conformational epitopes that can be recognized by antibodies that neutralize HIV-1. Although the V3 domain is a variable region, it contains a central portion shared by many HIV-1 isolates, particularly those found in the United States and Europe. The central portion has been called the principle neutralization epitope and is formed from a linear epitope of the amino acid sequence GPGRF (Broliden P.A. et al, Proc. Natl. Acad. Sci. USA 89: 461-465, 1992; Broliden P.A. et al, Immunol. 73: 371-376, 1991; Javaherian K. et al, Science 250: 1590-1593, 1990; Javaherian K. et al, Proc. Natl. Acad. Sci. USA 86: 6768-6772, 1989). Conformational epitopes of the V3 loop have also been identified that can be recognized by antibodies that are more broadly neutralizing.

[illegible][illegible][illegible][illegible]

- [illegible]

C. A fusion protein expressed from a cDNA construct that encodes the HIV env antigen or a subdomain, is attached to the amino terminus of a single chain Fv specific for CD40.

D. A fusion protein expressed from a cDNA construct as in C, except that the scFv that binds CD40 is oriented with the light chain variable region (V_L) attached to the carboxy-terminus of the heavy chain variable region (V_H).

E. A fusion protein expressed from a cDNA construct that encodes the HIV env antigen or a subdomain, is attached to a camelid variable region (V_{HH}) that binds CD40.

F. A fusion protein expressed from a cDNA construct that encodes the HIV env antigen or a subdomain, is attached to a peptide that binds CD40.

Figure 2.

A. Sequence of two cDNAs encoding HIV gp120-V3 loop/CD154 long form extracellular domain fusion proteins.

The sequence of a cDNA construct and corresponding fusion protein encoding the HIV V3 loop from gp120 with a (ProAspPro) linker (SEQUENCE ID NO.: 17 [DNA] OR SEQUENCE ID NO.: 25 [FUSION PROTEIN]) or a (Gly₄Ser)₃ linker (SEQ. ID NO.: 16 [DNA] OR SEQ. ID NO.: 24 [FUSION PROTEIN]) fused to the CD154 extracellular domain encoded between amino acids 48 (Arg)-261 (Leu), with an additional (Glu) residue at the carboxyl end of the protein, not present in wild type CD154. The sequence of the fusion protein is indicated using the three-letter amino acid code convention, above each codon of the open reading frame. Relevant restriction sites are indicated on the drawing and the nucleotides encoding sites at domain fusion junctions are displayed in boldface type, while the first codon of each fused domain is indicated in underlined, italicized type. The protein domains are labeled above the relevant position in the sequence. The nucleotide number is indicated in the left margin with a designation for the PDP linker form or the G4S linker form.

B. Sequence of two cDNAs encoding HIV V3 loop-CD154 short form extracellular domain fusion proteins.

The two HIV V3 loop constructs with alternate linkers, either (ProAspPro) (SEQUENCE ID NO.: 19 [DNA] OR SEQUENCE ID NO.: 27 [FUSION PROTEIN]) or (Gly₄Ser)₃ (SEQUENCE ID NO.: 18 [DNA] OR SEQUENCE ID NO.: 26 [FUSION PROTEIN])

Figure 3.

The sequence of a cDNA construct and corresponding fusion protein encoding the HIV gp120 with a (ProAspPro) linker (SEQ. ID NO.: 13 [DNA] OR SEQ. ID NO.: 21 [FUSION PROTEIN]) or a (Gly₄Ser)₃ linker (SEQ. ID NO.: 12 [DNA] OR SEQ. ID NO.: 20 [FUSION PROTEIN]) fused to the CD154 extracellular domain (Long Form) encoded between amino acids 48 (Arg)-261(Leu) + (Glu). All sequences are labeled as described for Figure 2A.

The sequence of a cDNA construct and corresponding fusion protein encoding the HIV gp120 with a (ProAspPro) linker (SEQ. ID NO.: 15 [DNA] or SEQ. ID NO.: 23 [fusion protein]) or a (Gly4Ser)₃ linker (SEQ. ID NO.: 14 [DNA] or SEQ. ID NO.: 22 [fusion protein]) fused to the short form of the CD154 extracellular domain encoded between amino acids 108 (Glu)-261 (Leu) + (Glu).. All sequences are labeled as described for Figure 2A.

This invention relates to improved vaccines comprising one or more antigens attached to a domain that targets at least one cell surface receptor. The vaccine may be delivered either as a protein, as a DNA plasmid, or by a viral vector. The expression of the DNA after injection of the plasmid or viral vector *in vivo* results in the secretion of the antigen(s) attached to a targeting domain, directing the antigen(s) to a cell surface receptor. Receptor-mediated internalization of the antigen into the endocytic compartment of cells that express the receptor enhances the presentation of antigenic peptides by MHC class II molecules that circulate through this compartment.

The preferred receptor(s) chosen for antigen targeting are those expressed by antigen presenting cells (APC), such as dendritic cells. Desirable receptors for targeting include but are not limited to CD80, CD86, CD83, CD40, CD32, CD64, Flt3, Dec 205, and ICOS ligand. The CD40 receptor is a preferred receptor for antigen targeting, since signals from CD40 regulate activation and differentiation of APC. Fusion proteins of antigen and CD154 (CD40 ligand) combine the functions of antigen targeting and activation of APC by simultaneous delivery of CD40 signals.

Thus the structure of the invention's main embodiment is a DNA plasmid encoding the extracellular domain of HIV-1 env gp160 attached to the CD154 extracellular domain.

The main embodiment of the invention encodes a stable trimer that expresses the major cross-neutralization epitopes of HIV-1 env while masking the internal env

epitopes that are not involved in virus neutralization. Antigenic peptides of HIV env are presented by MHC class I molecules by cells that express the DNA, while antigenic peptides of HIV env are presented by MHC class II molecules in CD40 positive cells that internalize the trimeric antigen-CD154 fusion protein. Activation of the CD40 receptor on cells bound by the antigen-CD154 fusion protein increases the specific immune response due to increased production of IL12 and increased expression of costimulatory molecules CD80 and CD86.

OPERATION

An improved DNA vaccine for AIDS comprising the extracellular domain of HIV-1 gp160, HIV-1 gp120, or a subdomain of these antigens fused to the extracellular domain of CD154 is described. Alternative embodiments of the invention use a smaller portion of the CD154 molecule composed of an 18 kDa subunit from Glu-108 to Leu-261 (Mazzei G.J. et al, J. Biol. Chem. 270: 7025-7028, 1995). The extracellular domain of gp160 can also be shortened by removing the gp41 domain, removing the V1 and V2 domains, or mutating the glycosylation sites without damaging the conformational structure of the HIV-1 envelope (Kwong P.D. et al, Nature 393: 648-659, 1998). These changes could further improve the activity of the vaccine, since the V1 and V2 loops, and the carbohydrate structures are thought to be exposed, clade specific epitopes that prevent or dilute the immune response to important cross-neutralization epitopes for diverse clades of HIV-1. Linkers between gp160 and CD154 can also be used. Thus, alternative embodiments of the invention minimize the CD154 domain, remove gp41, V1, V2, or glycosylation sites of gp160. This invention also envisions DNA vaccines comprising other HIV-1 antigens and antigens from alternative isolates of HIV-1, fused to the extracellular domain of CD154.

Delivery of antigen(s) to the CD40 receptor may use anti-CD40 scFv instead of CD154. Single antibody variable regions (V_{HH}) or peptides that bind CD40 are also included in the scope of the invention.

Antigen targeting to receptors is not limited to the CD40 receptor. Alternative receptors preferred for targeting include CD80, CD86, Dec205, ICOS ligand, Flt 3, Fc

receptors, and CD83. All cell surface receptors are envisioned by this invention. Receptors may be targeted by ligands, scFv molecules, single variable regions or peptides. Additional methods of attachment of antigen(s) to receptor targeting domains are envisioned, including chemical linkages of subunits, disulfide bonds, or noncovalent attachments such as leucine zipper motifs and the like. The invention contemplates injection of protein, injection of DNA plasmids, or viral vectors encoding the molecules comprising one or more antigens linked to a receptor-binding domain.

Antigens targeted to cell surface receptors are not limited to HIV gp160 antigens. Other antigens, including tumor antigens, parasite antigens, bacterial antigens, and viral antigens are included in the scope of the invention.

The invention also envisions delivery of antigens to cell surface receptors in order to induce antigen-specific tolerance or nonresponsiveness. For this application, an autoantigen would be chosen and the vaccine would be used to treat autoimmune disease.

The invention also envisions antigen(s) that are natural components of the body, such as tumor-associated antigens, where an immune response to the antigen(s) breaks tolerance to the antigen, resulting in a change in immune homeostasis.

The following examples describe particular embodiments of the invention but are not meant to limit its scope.

EXAMPLE 1

A preferred embodiment of the DNA vaccine includes an amino-terminal secretory signal peptide sequence upstream and adjacent to a cDNA sequence cassette encoding the desired antigen. This molecule is then fused to the extracellular domain of CD154 or to a portion of the extracellular domain of CD154 which retains the ability to bind CD40, or to an scFv targeted to CD40, to create a fusion protein expression cassette that targets the antigen to the antigen presenting cell through the CD40 receptor as diagrammed in Figure 1. The expression cassette is inserted into an appropriate mammalian expression vector or virus to achieve high level expression of the fusion protein either *in vitro* or *in vivo*.

The leader peptide is encoded on complementary oligonucleotides with a single-stranded HindIII cohesive end at the 5' terminus, and a BglII cohesive end at the 3' terminus. The sense oligonucleotide is designated SEQUENCE ID NO: 1 or HBLPS and the sequence is as follows:

5' **agctt**gccgccatgctgtatacctctcagctgtaggactacttctgttttgatctcggcttcga-3'.

The antisense oligonucleotide is designated SEQUENCE ID NO: 2 or HBLPAS and the sequence is as follows:

5' **gatct**cgaagcccgagatccaaaacagaagtagtcctaacagctgagaggatatacagcatggcggca-3'. The two molecules anneal to one another except at the overhanging nucleotides indicated in boldface type. Alternative embodiments could include other secretory signal peptides or localization sequences.

The extracellular domain of human CD154 was PCR amplified using cDNA generated with random primers and RNA from human T lymphocytes activated with PHA (phytohemagglutinin). Two different fusion junctions were designed which resulted in a short or truncated form (form S4) including amino acids 108 (Glu)-261 (Leu) + (Glu),, and a long or complete form (form L2) including amino acids 48 (Arg) - 261 (Leu) + (Glu), of the extracellular domain of CD154. The sense primer which fuses the extracellular domain to the targeted antigen includes a BamHI site for cloning that introduces the peptide sequence PDP or (ProAspPro) at the fusion junction and can also encode a linker peptide such as (Gly₄Ser)₃ to separate the antigen from the extracellular domain. The oligonucleotide primers used in amplifying the short form (S4) of the CD154 extracellular domain encoding amino acids 108 (Glu)-261 (Leu) + (Glu) are as follows:

The sense primer is designated SEQUENCE ID NO: 3 or CD154BAM108 and encodes a 34 mer with the following sequence : 5'-gtt gtc gga tcc aga aaa cag ctt tga aat gca a-3' , while the antisense primer is designated SEQUENCE ID NO: 4 or CD154XBA and encodes a 44 mer with the following sequence: 5'-gtt gtt tct aga tta tca ctc gag ttg gag taa gcc aaa gga cg-3'.

The oligonucleotide primers used in amplifying the long form (L2) of the CD154 extracellular domain encoding amino acids 48 (Arg)-261 (Leu) + (Glu), are as follows: The sense primer is identified as SEQUENCE ID NO: 5 or CD154 BAM48 and encodes a 35 mer with the following sequence: 5'-gtt gtc gga tcc aag aag gtt gga caa gat aga ag-

3', while the antisense primer is also SEQUENCE ID NO: 4 or CD154XBA encoding the 44 mer: 5'-gtt gtt tct aga tta tca ctc gag ttt gag taa gcc aaa gga cg-3'.

A variety of different antigens can be encoded on cDNA cassettes to be inserted between the leader peptide cassette and the CD40 targeted domain (such as a truncated or complete CD154 extracellular domain or a CD40 specific scFv). In a preferred embodiment of the invention, the cDNA antigen encoded by the vaccine is the HIV-1 gp 120 or a fragment of this antigen, such as the V3 loop. The primer sets used to amplify the complete gp120 domain include the sense primer SEQUENCE ID NO: 6 or GP120Bgl2f 5'-gga tat tga tga gat cta gtg cta cag-3' and one of two antisense primers encoding different linkers. Either the antisense primer encoding the ProAspPro linker, identified as SEQUENCE ID NO: 7 or GP120PDPr 5'-gaa cac agc tcc tat tgg atc cgg tct ttt ttc tct ttg cac-3' or the antisense primer encoding the (Gly₄Ser)₃ linker, identified as SEQUENCE ID NO: 8 or GP120G4Sr 5'-cct gca tgg atc cga tcc gcc acc tcc aga acc tcc acc tcc tga acc gcc tcc ccc tct ttt ttc tct ttg cac tgt tct tct ctt tgc-3' were used to amplify the gp120 domain with the desired linker attached. PV75Kgp160(89.6) DNA was used as template in PCR reactions. Alternatively, other isolates or sequence variants of gp120 or gp160 are available and can be substituted to create novel fusion cassettes. PCR amplification reactions were performed using cloned plasmid DNA as template (approximately 45 ng), 3 mM MgCl₂, 0.3 mM dNTPs, 1/10 volume 10X reaction buffer supplied by the manufacturer, 10 pmol sense primer, 10 pmol antisense primer, and 2.5 units TAQ polymerase (Takara Pharmaceuticals) in a total reaction volume of 50 µl. The amplification profile included an initial 4 minute 94°C denaturation, followed by a 30 cycle program of 50°C annealing for 30 seconds, 72°C extension for 30 seconds, and 94°C denaturation for 30 seconds. PCR fragments were purified by ethanol precipitation, resuspended in 30 µl ddH₂O and 10 µl was digested with BglII (Roche) restriction endonuclease in a 20 µl reaction volume at 37°C for 3 hours. Fragments were gel purified, purified using QIAEX kits according to the manufacturer's instructions (QIAGEN, San Diego, CA), and ligated along with the annealed leader peptide oligonucleotides to HindIII-BamHI digested expression vector already containing the CD154 extracellular domain as a BamHI-XbaI fragment. Recombinant clones were screened for the correct orientation and presence of inserts, and the resulting positive clones were verified by DNA sequencing using an ABI 310 sequence analyzer and the ABI Prism Dye Terminator Reaction Chemistry. The final fusion cassette encodes the

synthetic leader peptide fused to the HIV gp120 domain with either a (ProAspPro) linker or a (Gly₄Ser)₃ linker, and then to the CD154 extracellular domain long (Figure 3A) or short (Figure 3B) form to create the embodiments of example 1.

EXAMPLE 2

In an alternative preferred embodiment, the V1 and V2 domains of gp120 are removed and only the V3 loop domain from HIV gp 120 is encoded on a BglII-BamHI fragment and fused to the signal peptide and the CD154 extracellular domain to create the vaccine, as illustrated in Figure 2A and B. This antigen domain is separated from the CD154 short (Figure 2B) or long extracellular domain (Figure 2A) by a peptide linker encoding the amino acids (ProAspPro), or a longer peptide linker encoding the amino acids (Gly₄Ser)₃.

The V3 loop was PCR amplified from pV75 (gp 89.6), a plasmid containing HIV gp120 from isolate LAV, using the following primer set:

The antisense primer encoding a ProAspPro linker is SEQUENCE ID NO: 9 or V3PDPr
5'-gtt att cca tgg atc cgg act aat ctt aca atg tgc ttg-3'

The sense primer fusing the antigen to the signal peptide is SEQUENCE ID NO: 10 or V3Bgl2f

5'-gta cag cta aat aga tct gta gta att aat tg-3'

The antisense primer encoding a (Gly₄Ser)₃ linker is SEQUENCE ID NO: 11 or V3G4Sr
5'-ggg gca tgg atc cga acc tcc acc gcc aga tcc acc gcc tcc tga ggc acc gcc acc act aat gtt
aca atg tgc ttg ttg tct tat atc tcc-3'.

Amplification, digestion, purification, and ligation conditions were identical to those described above for the full-length gp120 domain. The final fusion cassettes encode the HIV gp120-V3 loop with either a (ProAspPro) linker or a (Gly₄Ser)₃ linker fused to either the CD154 extracellular domain as diagrammed in Figure 2A for the long form, and Figure 2B for the short form of the CD40 binding domain.

Other antigens and linkers can be substituted to create alternative vaccines by construction of the appropriate cDNA cassettes encoding the desired domains and attaching them to the CD154 extracellular domain. Because of the high degree of sequence variation among HIV isolates, alternative sequences might be incorporated as needed to target particular clades. Other viral antigens such as HIV tat or their

CLAIMS: We claim:

1. A vaccine comprising one or more antigens linked to a domain that binds at least one receptor.
2. A vaccine of claim 1 where said receptor is CD40.
3. A vaccine of claim 1 where said domain is CD154 or a portion of CD154.
4. A vaccine of claim 1 where said domain is a single chain Fv that binds CD40.
5. A vaccine of claim 1 where said domain binds to one or more receptors selected from the group consisting of CD80, CD86, CD32, CD64, CD83, ICOS ligand, Flt3, CD10, CD11, CD14, CD15, CD16, CD18, CD19, CD20, CD21, CD22, CD23, CD37, CD38, CD39, CD43, CD56, CD58, CD72, CD75, CD76, CD77, CD78, and cytokine/growth factor receptors.
6. A vaccine of claim 1 where said antigen is HIV-1 gp160 or a portion of HIV-1 gp160.
7. A vaccine of claim 1 where said antigen is a tumor antigen or a microbial antigen.
8. A DNA expression plasmid encoding a vaccine comprising one or more antigens linked to a domain that binds at least one receptor.
9. A DNA expression plasmid of claim 8 encoding a vaccine where said receptor is CD40.
10. A DNA expression plasmid of claim 8 encoding a vaccine where said domain is CD154 or a portion of CD154.
11. A DNA expression plasmid of claim 8 encoding a vaccine where said domain is a single chain Fv that binds CD40.
12. A DNA expression plasmid of claim 8 encoding a vaccine where said domain binds to one or more antigens selected from the group consisting of CD80, CD86, CD32, CD64, CD83, ICOS ligand, Flt3, CD10, CD11, CD14, CD15, CD16, CD18, CD19, CD20, CD21, CD22, CD23, CD37, CD38, CD39, CD43, CD56, CD58, CD72, CD75, CD76, CD77, CD78, and cytokine/growth factor receptors.
13. A DNA expression plasmid of claim 8 encoding a vaccine where said antigen is HIV-1 gp160 or a portion of HIV-1 gp160.
14. A DNA expression plasmid of claim 8 encoding a vaccine where said antigen is a tumor antigen or a microbial antigen.

Vaccines that target one or more antigens to a cell surface receptor improve the antigen-specific humoral and cellular immune response. Antigen(s) linked to a domain that binds to a cell surface receptor are internalized, carrying antigen(s) into an intracellular compartment where the antigen(s) are digested into peptides and loaded onto MHC molecules. T cells specific for the peptide antigens are activated, leading to an enhanced immune response. The vaccine may comprise antigen(s) linked to a domain that binds at least one receptor or a DNA plasmid encoding antigen(s) linked to a domain that binds at least one receptor. A preferred embodiment of the invention targets HIV-1 env antigen to the CD40 receptor, resulting in delivery of antigen to CD40 positive cells, and selective activation of the CD40 receptor on cells presenting HIV-1 env antigens to T cells.

Figure 1.

Fusion Proteins that Target Antigen to APC

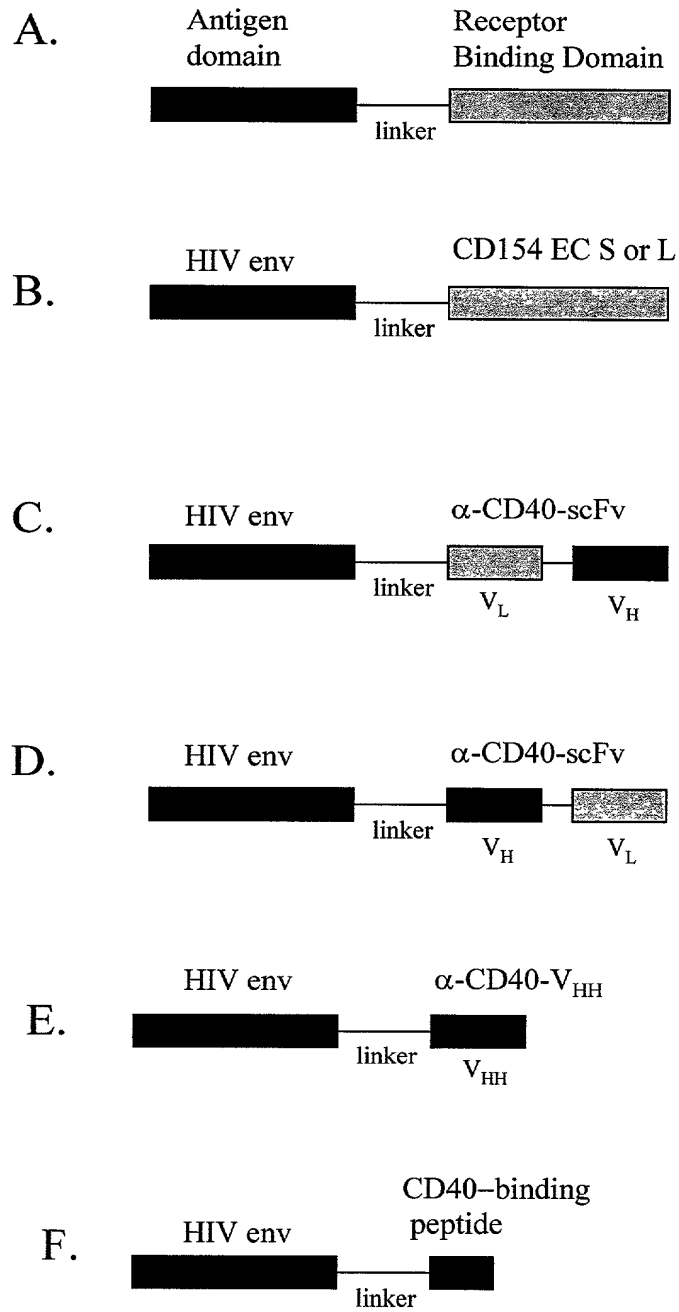


Figure 2A.

Sequence and translation of two cDNAs encoding HIV gp120 V3 loop-CD154
LONG form extracellular domain fusion proteins.

HindIII
~~~~~

**Signal Peptide**  
Met Leu Tyr Thr Ser Gln Leu Leu Gly Leu Leu

1 **AAG CTT** GCC GCC **ATG** CTG TAT ACC TCT CAG CTG TTA GGA CTA CTT  
BglII  
~~~~~ **HIVgp120-V3 loop**

46 Leu Phe Trp Ile Ser Ala Ser Arg Ser Val Val Ile Asn Cys Thr
CTG TTT TGG ATC TCG GCT TCG **AGA TCT GTA** GTA ATT AAT TGT ACA

91 Arg Pro Asn Asn Asn Thr Arg Arg Arg Leu Ser Ile Gly Pro Gly
AGA CCC AAC AAC AAT ACA AGA AGA AGG TTA TCT ATA GGA CCA GGG

136 Arg Ala Phe Tyr Ala Arg Arg Asn Ile Ile Gly Asp Ile Arg Gln
AGA GCA TTT TAT GCA AGA AGA AAC ATA ATA GGA GAT ATA AGA CAA
Ala His Cys Asn Ile Ser

181 GCA CAT TGT AAC ATT AGT
ProAspPro Linker
BamHI
~~~~~

199 

Pro Asp Pro
CCG GAT CCA

**OR (Gly<sub>4</sub>Ser)<sub>3</sub> Linker** BamHI  
~~~~~

199

| |
|---|
| Gly Gly Gly Gly Ser Gly Gly Gly Gly Ser Gly Gly Gly Gly Ser Asp Pro |
| GGT GGC GGT GGC TCA GGA GGC GGT GGA TCT GGC GGT GGA GGT TCG GAT CCA |

CD154 LONG extracellular domain

208PDP Arg Arg Leu Asp Lys Ile Glu
250GS **AGA** AGG TTG GAC AAG ATA GAA

229PDP Asp Glu Arg Asn Leu His Glu Asp Phe Val Phe Met Lys Thr Ile
271GS GAT GAA AGG AAT CTT CAT GAA GAT TTT GTA TTC ATG AAA ACG ATA

274PDP Gln Arg Cys Asn Thr Gly Glu Arg Ser Leu Ser Leu Leu Asn Cys
316GS CAG AGA TGC AAC ACA GGA GAA AGA TCC TTA TCC TTA CTG AAC TGT

319PDP Glu Glu Ile Lys Ser Gln Phe Glu Gly Phe Val Lys Asp Ile Met
361GS GAG GAG ATT AAA AGC CAG TTT GAA GGC TTT GTG AAG GAT ATA ATG

364PDP Leu Asn Lys Glu Glu Thr Lys Lys Glu Asn Ser Phe Glu Met Gln
406GS TTA AAC AAA GAG GAG ACG AAG AAA GAA AAC AGC TTT GAA ATG CAA

409PDP Lys Gly Asp Gln Asn Pro Gln Ile Ala Ala His Val Ile Ser Glu
451GS AAA GGT GAT CAG AAT CCT CAA ATT GCG GCA CAT GTC ATA AGT GAG

454PDP Ala Ser Ser Lys Thr Thr Ser Val Leu Gln Trp Ala Glu Lys Gly
496GS GCC AGC AGT AAA ACA ACA TCT GTG TTA CAG TGG GCT GAA AAA GGA

499PDP Tyr Tyr Thr Met Ser Asn Asn Leu Val Thr Leu Glu Asn Gly Lys
541GS TAC TAC ACC ATG AGC AAC AAC TTG GTA ACC CTG GAA AAT GGG AAA

544PDP Gln Leu Thr Val Lys Arg Gln Gly Leu Tyr Tyr Ile Tyr Ala Gln
586GS CAG CTG ACC GTT AAA AGA CAA GGA CTC TAT TAT ATC TAT GCC CAA

589PDP Val Thr Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln Ala Pro Phe
631GS GTC ACC TTC TGT TCC AAT CGG GAA GCT TCG AGT CAA GCT CCA TTT

634PDP Ile Ala Ser Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu Arg Ile
676GS ATA GCC AGC CTC TGC CTA AAG TCC CCC GGT AGA TTC GAG AGA ATC

679PDP Leu Leu Arg Ala Ala Asn Thr His Ser Ser Ala Lys Pro Cys Gly
721GS TTA CTC AGA GCT GCA AAT ACC CAC AGT TCC GCC AAA CCT TGC GGG

724PDP Gln Gln Ser Ile His Leu Gly Gly Val Phe Glu Leu Gln Pro Gly
766GS CAA CAA TCC ATT CAC TTG GGA GGA GTA TTT GAA TTG CAA CCA GGT

769PDP Ala Ser Val Phe Val Asn Val Thr Asp Pro Ser Gln Val Ser His
811GS GCT TCG GTG TTT GTC AAT GTG ACT GAT CCA AGC CAA GTG AGC CAT

814PDP Gly Thr Gly Phe Thr Ser Phe Gly Leu Leu Lys Leu Glu *** **

856GS GGC ACT GGC TTC ACG TCC TTT GGC TTA CTC AAA CTC GAG TGA TAA

XbaI
~~~~~

859PDP  
901GS **TCT AGA**



Figure 3A.

Sequence and translation of two cDNAs encoding HIV gp120-CD154 LONG  
form extracellular domain fusion proteins.

	HindIII			Signal Peptide											
	~~~~~			Met	Leu	Tyr	Thr	Ser	Gln	Leu	Leu	Gly	Leu	Leu	
1	AAG	CTT	GCC	GCC	ATG	CTG	TAT	ACC	TCT	CAG	CTG	TTA	GGA	CTA	CTT
	BglII														
	~~~~~														
	HIV gp120 domain														
	Leu	Phe	Trp	Ile	Ser	Ala	Ser	Arg	Ser	Met	Leu	Leu	Gly	Ile	Leu
46	CTG	TTT	TGG	ATC	TCG	GCT	TCG	AGA	TCT	ATG	CTC	CTT	GGG	ATA	TTG
	Met	Ile	Cys	Ser	Ala	Thr	Glu	Lys	Leu	Trp	Val	Thr	Val	Tyr	Tyr
91	ATG	ATC	TGT	AGT	GCT	ACA	GAA	AAA	TTG	TGG	GTC	ACA	GTC	TAT	TAT
	Gly	Val	Pro	Val	Trp	Arg	Glu	Ala	Thr	Thr	Thr	Leu	Phe	Cys	Ala
136	GGG	GTA	CCT	GTG	TGG	AGA	GAA	GCA	ACC	ACC	ACT	CTA	TTT	TGT	GCA
	Ser	Asp	Ala	Lys	Ala	Tyr	Asp	Thr	Glu	Val	His	Asn	Val	Trp	Ala
181	TCA	GAT	GCT	AAA	GCC	TAT	GAT	ACA	GAG	GTA	CAT	AAT	GTT	TGG	GCC
	Thr	His	Ala	Cys	Val	Pro	Thr	Asp	Pro	Asn	Pro	Gln	Glu	Val	Val
226	ACA	CAT	GCC	TGT	GTA	CCC	ACA	GAC	CCC	AAC	CCA	CAA	GAA	GTA	GTA
	Leu	Gly	Asn	Val	Thr	Glu	Asn	Phe	Asn	Met	Trp	Lys	Asn	Asn	Met
271	TTG	GGA	AAT	GTG	ACA	GAA	AAT	TTT	AAC	ATG	TGG	AAA	AAT	AAC	ATG
	Val	Asp	Gln	Met	His	Glu	Asp	Ile	Ile	Ser	Leu	Trp	Asp	Glu	Ser
316	GTA	GAT	CAG	ATG	CAT	GAG	GAT	ATA	ATC	AGT	TTA	TGG	GAT	GAA	AGC
	Leu	Lys	Pro	Cys	Val	Lys	Leu	Thr	Pro	Leu	Cys	Val	Thr	Leu	Asn
361	CTA	AAG	CCA	TGT	GTA	AAA	TTA	ACC	CCA	CTC	TGT	GTT	ACT	TTA	AAT
	Cys	Thr	Asn	Leu	Asn	Ile	Thr	Lys	Asn	Thr	Thr	Asn	Pro	Thr	Ser
406	TGC	ACT	AAT	TTG	AAT	ATC	ACT	AAG	AAT	ACT	ACT	AAT	CCC	ACT	AGT
	Ser	Ser	Trp	Gly	Met	Met	Glu	Lys	Gly	Glu	Ile	Lys	Asn	Cys	Ser
451	AGC	AGC	TGG	GGA	ATG	ATG	GAG	AAA	GGA	GAA	ATA	AAA	AAT	TGC	TCT
	Phe	Tyr	Ile	Thr	Thr	Ser	Ile	Arg	Asn	Lys	Val	Lys	Lys	Glu	Tyr
496	TTC	TAT	ATC	ACC	ACA	AGC	ATA	AGA	AAT	AAG	GTA	AAG	AAA	GAA	TAT
	Ala	Leu	Phe	Asn	Arg	Leu	Asp	Val	Val	Pro	Ile	Glu	Asn	Thr	Asn
541	GCA	CTT	TTT	AAT	AGA	CTT	GAT	GTA	GTA	CCA	ATA	GAA	AAT	ACT	AAT
	Asn	Thr	Lys	Tyr	Arg	Leu	Ile	Ser	Cys	Asn	Thr	Ser	Val	Ile	Thr
586	AAT	ACT	AAG	TAT	AGG	TTA	ATA	AGT	TGT	AAC	ACC	TCA	GTC	ATT	ACA
	Gln	Ala	Cys	Pro	Lys	Val	Ser	Phe	Gln	Pro	Ile	Pro	Ile	His	Tyr
631	CAG	GCC	TGT	CCA	AAG	GTA	TCC	TTT	CAG	CCA	ATT	CCC	ATA	CAT	TAT
	Cys	Val	Pro	Ala	Gly	Phe	Ala	Met	Leu	Lys	Cys	Asn	Asn	Lys	Thr
676	TGT	GTC	CCG	GCT	GGG	TTT	GCG	ATG	CTA	AAG	TGT	AAC	AAT	AAG	ACA
	Phe	Asn	Gly	Ser	Gly	Pro	Cys	Thr	Asn	Val	Ser	Thr	Val	Gln	Cys
721	TTC	AAT	GGA	TCA	GGA	CCA	TGC	ACA	AAT	GTC	AGC	ACA	GTA	CAA	TGT
	Thr	His	Gly	Ile	Arg	Pro	Val	Val	Ser	Thr	Gln	Leu	Leu	Leu	Asn
766	ACA	CAT	GGA	ATT	AGG	CCA	GTG	GTG	TCA	ACT	CAA	CTG	CTG	TTA	AAT
	Gly	Ser	Leu	Ala	Glu	Glu	Asp	Ile	Val	Ile	Arg	Ser	Glu	Asn	Phe
811	GGC	AGT	CTA	GCA	GAA	GAA	GAC	ATA	GTA	ATT	AGA	TCT	GAA	AAT	TTC
	Thr	Asp	Asn	Ala	Lys	Thr	Ile	Ile	Val	Gln	Leu	Asn	Glu	Ser	Val
856	ACA	GAC	AAT	GCT	AAA	ACC	ATA	ATA	GTA	CAG	CTA	AAT	GAA	TCT	GTA
	Val	Ile	Asn	Cys	Thr	Arg	Pro	Asn	Asn	Asn	Thr	Arg	Arg	Arg	Leu
901	GTA	ATT	AAT	TGT	ACA	AGA	CCC	AAC	AAC	AAT	ACA	AGA	AGA	AGG	TTA
	Ser	Ile	Gly	Pro	Gly	Arg	Ala	Phe	Tyr	Ala	Arg	Arg	Asn	Ile	Ile
946	TCT	ATA	GGA	CCA	GGG	AGA	GCA	TTT	TAT	GCA	AGA	AGA	AAC	ATA	ATA
	Gly	Asp	Ile	Arg	Gln	Ala	His	Cys	Asn	Ile	Ser	Arg	Ala	Lys	Trp
991	GGA	GAT	ATA	AGA	CAA	GCA	CAT	TGT	AAC	ATT	AGT	AGA	GCA	AAA	TGG
	Asn	Asn	Thr	Leu	Gln	Gln	Ile	Val	Ile	Lys	Leu	Arg	Glu	Lys	Phe
1036	AAT	AAC	ACT	TTA	CAA	CAG	ATA	GTT	ATA	AAA	TTA	AGA	GAA	AAA	TTT
	Arg	Asn	Lys	Thr	Ile	Ala	Phe	Asn	Gln	Ser	Ser	Gly	Gly	Asp	Pro
1081	AGG	AAT	AAA	ACA	ATA	GCC	TTT	AAT	CAA	TCC	TCA	GGA	GGG	GAC	CCA
	Glu	Ile	Val	Met	His	Ser	Phe	Asn	Cys	Gly	Gly	Glu	Phe	Phe	Tyr
1126	GAA	ATT	GTA	ATG	CAC	AGT	TTT	AAT	TGT	GGA	GGG	GAA	TTC	TTC	TAC
	Cys	Asn	Thr	Ala	Gln	Leu	Phe	Asn	Ser	Thr	Trp	Asn	Val	Thr	Gly
1171	TGT	AAT	ACA	GCA	CAA	CTG	TTT	AAT	AGT	ACT	TGG	AAT	GTT	ACT	GGA
	Gly	Thr	Asn	Gly	Thr	Glu	Gly	Asn	Asp	Ile	Ile	Thr	Leu	Gln	Cys

**Sequence and translation of two cDNAs encoding HIV gp120-CD154 LONG form extracellular domain fusion proteins.**

(Gly<sub>4</sub>Ser)<sub>3</sub> linker

Gly Gly Gly Gly Ser Gly Gly Gly Gly Ser Gly Gly Gly Gly Ser Asp Pro  
GGG GGA GGC GGT TCA GGA GGT GGA GGT TCT GGA GGT GGC GGA TCG **GAT** **CCA**

BamHI

Pro Asp Pro  
CCG **GAT** CCA

1594GS	Arg	Arg	Leu	Asp	Lys	Ile	Glu	Asp	Glu						
1552PDP	<b>AGA</b>	AGG	TTG	GAC	AAG	ATA	GAA	GAT	GAA						
1621GS	Arg	Asn	Leu	His	Glu	Asp	Phe	Val	Phe	Met	Lys	Thr	Ile	Gln	Arg
1579PDP	AGG	AAT	CTT	CAT	GAA	GAT	TTT	GTA	TTC	ATG	AAA	ACG	ATA	CAG	AGA
1666GS	Cys	Asn	Thr	Gly	Glu	Arg	Ser	Leu	Ser	Leu	Leu	Asn	Cys	Glu	Glu
1624PDP	TGC	AAC	ACA	GGA	GAA	AGA	TCC	TTA	TCC	TTA	CTG	AAC	TGT	GAG	GAC
1711GS	Ile	Lys	Ser	Gln	Phe	Glu	Gly	Phe	Val	Lys	Asp	Ile	Met	Leu	Asn
1669PDP	ATT	AAA	AGC	CAG	TTT	GAA	GGC	TTT	GTG	AAG	GAT	ATA	ATG	TTA	AAC
1756GS	Lys	Glu	Glu	Thr	Lys	Lys	Glu	Asn	Ser	Phe	Glu	Met	Gln	Lys	Gly
1714PDP	AAA	GAG	GAG	ACG	AAG	AAA	GAA	AAC	AGC	TTT	GAA	ATG	CAA	AAA	GGT
1801GS	Asp	Gln	Asn	Pro	Gln	Ile	Ala	Ala	His	Val	Ile	Ser	Glu	Ala	Ser
1759PDP	GAT	CAG	AAT	CCT	CAA	ATT	GCG	GCA	CAT	GTC	ATA	AGT	GAG	GCC	AGC
1846GS	Ser	Lys	Thr	Thr	Ser	Val	Leu	Gln	Trp	Ala	Glu	Lys	Gly	Tyr	Tyr
1804PDP	AGT	AAA	ACA	ACA	TCT	GTG	TTA	CAG	TGG	GCT	GAA	AAA	GGA	TAC	TAC
1891GS	Thr	Met	Ser	Asn	Asn	Leu	Val	Thr	Leu	Glu	Asn	Gly	Lys	Gln	Leu
1849PDP	ACC	ATG	AGC	AAC	AAC	TTG	GTA	ACC	CTG	GAA	AAT	GGG	AAA	CAG	CTG
1936GS	Thr	Val	Lys	Arg	Gln	Gly	Leu	Tyr	Tyr	Ile	Tyr	Ala	Gln	Val	Thr
1894PDP	ACC	GTT	AAA	AGA	CAA	GGA	CTC	TAT	TAT	ATC	TAT	GCC	CAA	GTC	ACC
1981GS	Phe	Cys	Ser	Asn	Arg	Glu	Ala	Ser	Ser	Gln	Ala	Pro	Phe	Ile	Ala
1939PDP	TTC	TGT	TCC	AAT	CGG	GAA	GCT	TGC	AGT	CAA	GCT	CCA	TTT	ATA	GCC
2026GS	Ser	Leu	Cys	Leu	Lys	Ser	Pro	Gly	Arg	Phe	Glu	Arg	Ile	Leu	Leu
1984PDP	AGC	CTC	TGC	CTA	AAG	TCC	CCC	GGT	AGA	TTC	GAG	AGA	ATC	TTA	CTC
2071GS	Arg	Ala	Ala	Asn	Thr	His	Ser	Ser	Ala	Lys	Pro	Cys	Gly	Gln	Gln
2029PDP	AGA	GCT	GCA	AAT	ACC	CAC	AGT	TCC	GCC	AAA	CCT	TGC	GGG	CAA	CAA
2116GS	Ser	Ile	His	Leu	Gly	Gly	Val	Phe	Glu	Leu	Gln	Pro	Gly	Ala	Ser
2074PDP	TCC	ATT	CAC	TTG	GGA	GGA	GTA	TTT	GAA	TTG	CAA	CCA	GGT	GCT	TCG
2161GS	Val	Phe	Val	Asn	Val	Thr	Asp	Pro	Ser	Gln	Val	Ser	His	Gly	Thr
2119PDP	GTG	TTT	GTC	AAT	GTG	ACT	GAT	CCA	AGC	CAA	GTG	AGC	CAT	GGC	ACT
													XbaI		
2206GS	Gly	Phe	Thr	Ser	Phe	Gly	Leu	Leu	Lys	Leu	Glu	***	***	Ser	Arg
2164PDP	GGC	TTC	ACG	TCC	TTT	GGC	TTA	CTC	AAA	CTC	GAG	TGA	TAA	<b>TCT</b>	<b>AGA</b>

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
1	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100

	HindIII ~~~~~				Signal Peptide															
					Met	Leu	Tyr	Thr	Ser	Gln	Leu	Leu	Gly	Leu	Leu					
1	AAG	CTT	GCC	GCC	ATG	CTG	TAT	ACC	TCT	CAG	CTG	TTA	GGA	CTA	CTT					
											BgIII ~~~~~					HIV gp120 domain				
	Leu	Phe	Trp	Ile	Ser	Ala	Ser	Arg	Ser	Met	Leu	Leu	Gly	Ile	Leu					
46	CTG	TTT	TGG	ATC	TCG	GCT	TCG	AGA	TCT	ATG	CTC	CTT	GGG	ATA	TTG					
	Met	Ile	Cys	Ser	Ala	Thr	Glu	Lys	Leu	Trp	Val	Thr	Val	Tyr	Tyr					
91	ATG	ATC	TGT	AGT	GCT	ACA	GAA	AAA	TTG	TGG	GTC	ACA	GTC	TAT	TAT					
	Gly	Val	Pro	Val	Trp	Arg	Glu	Ala	Thr	Thr	Thr	Leu	Phe	Cys	Ala					
136	GGG	GTA	CCT	GTG	TGG	AGA	GAA	GCA	ACC	ACC	ACT	CTA	TTT	TGT	GCA					
	Ser	Asp	Ala	Lys	Ala	Tyr	Asp	Thr	Glu	Val	His	Asn	Val	Trp	Ala					
181	TCA	GAT	GCT	AAA	GCC	TAT	GAT	ACA	GAG	GTA	CAT	AAT	GTT	TGG	GCC					
	Thr	His	Ala	Cys	Val	Pro	Thr	Asp	Pro	Asn	Pro	Gln	Glu	Val	Val					
226	ACA	CAT	GCC	TGT	GTA	CCC	ACA	GAC	CCC	AAC	CCA	CAA	GAA	GTA	GTA					
	Leu	Gly	Asn	Val	Thr	Glu	Asn	Phe	Asn	Met	Trp	Lys	Asn	Asn	Met					
271	TTG	GGA	AAT	GTG	ACA	GAA	AAT	TTT	AAC	ATG	TGG	AAA	AAT	AAC	ATG					
	Val	Asp	Gln	Met	His	Glu	Asp	Ile	Ile	Ser	Leu	Trp	Asp	Glu	Ser					
316	GTA	GAT	CAG	ATG	CAT	GAG	GAT	ATA	ATC	AGT	TTA	TGG	GAT	GAA	AGC					
	Leu	Lys	Pro	Cys	Val	Lys	Leu	Thr	Pro	Leu	Cys	Val	Thr	Leu	Asn					
361	CTA	AAG	CCA	TGT	GTA	AAA	TTA	ACC	CCA	CTC	TGT	GTT	ACT	TTA	AAT					
	Cys	Thr	Asn	Leu	Asn	Ile	Thr	Lys	Asn	Thr	Thr	Asn	Pro	Thr	Ser					
406	TGC	ACT	AAT	TTG	AAT	ATC	ACT	AAG	AAT	ACT	ACT	AAT	CCC	ACT	AGT					
	Ser	Ser	Trp	Gly	Met	Met	Glu	Lys	Gly	Glu	Ile	Lys	Asn	Cys	Ser					
451	AGC	AGC	TGG	GGA	ATG	ATG	GAG	AAA	GGA	GAA	ATA	AAA	AAT	TGC	TCT					
	Phe	Tyr	Ile	Thr	Thr	Ser	Ile	Arg	Asn	Lys	Val	Lys	Lys	Glu	Tyr					
496	TTC	TAT	ATC	ACC	ACA	AGC	ATA	AGA	AAT	AAG	GTA	AAG	AAA	GAA	TAT					
	Ala	Leu	Phe	Asn	Arg	Leu	Asp	Val	Val	Pro	Ile	Glu	Asn	Thr	Asn					
541	GCA	CTT	TTT	AAT	AGA	CTT	GAT	GTA	GTA	CCA	ATA	GAA	AAT	ACT	AAT					
	Asn	Thr	Lys	Tyr	Arg	Leu	Ile	Ser	Cys	Asn	Thr	Ser	Val	Ile	Thr					
586	AAT	ACT	AAG	TAT	AGG	TTA	ATA	AGT	TGT	AAC	ACC	TCA	GTC	ATT	ACA					
	Gln	Ala	Cys	Pro	Lys	Val	Ser	Phe	Gln	Pro	Ile	Pro	Ile	His	Tyr					
631	CAG	GCC	TGT	CCA	AAG	GTA	TCC	TTT	CAG	CCA	ATT	CCC	ATA	CAT	TAT					
	Cys	Val	Pro	Ala	Gly	Phe	Ala	Met	Leu	Lys	Cys	Asn	Asn	Lys	Thr					
676	TGT	GTC	CCG	GCT	GGG	TTT	GCG	ATG	CTA	AAG	TGT	AAC	AAT	AAG	ACA					
	Phe	Asn	Gly	Ser	Gly	Pro	Cys	Thr	Asn	Val	Ser	Thr	Val	Gln	Cys					
721	TTC	AAT	GGA	TCA	GGA	CCA	TGC	ACA	AAT	GTC	AGC	ACA	GTA	CAA	TGT					
	Thr	His	Gly	Ile	Arg	Pro	Val	Val	Ser	Thr	Gln	Leu	Leu	Leu	Asn					
766	ACA	CAT	GGA	ATT	AGG	CCA	GTG	GTG	TCA	ACT	CAA	CTG	CTG	TTA	AAT					
	Gly	Ser	Leu	Ala	Glu	Glu	Asp	Ile	Val	Ile	Arg	Ser	Glu	Asn	Phe					
811	GGC	AGT	CTA	GCA	GAA	GAA	GAC	ATA	GTA	ATT	AGA	TCT	GAA	AAT	TTC					
	Thr	Asp	Asn	Ala	Lys	Thr	Ile	Ile	Val	Gln	Leu	Asn	Glu	Ser	Val					
856	ACA	GAC	AAT	GCT	AAA	ACC	ATA	ATA	GTA	CAG	CTA	AAT	GAA	TCT	GTA					
	Val	Ile	Asn	Cys	Thr	Arg	Pro	Asn	Asn	Asn	Thr	Arg	Arg	Arg	Leu					
901	GTA	ATT	AAT	TGT	ACA	AGA	CCC	AAC	AAC	AAT	ACA	AGA	AGA	AGG	TTA					
	Ser	Ile	Gly	Pro	Gly	Arg	Ala	Phe	Tyr	Ala	Arg	Arg	Asn	Ile	Ile					
946	TCT	ATA	GGA	CCA	GGG	AGA	GCA	TTT	TAT	GCA	AGA	AGA	AAC	ATA	ATA					
	Gly	Asp	Ile	Arg	Gln	Ala	His	Cys	Asn	Ile	Ser	Arg	Ala	Lys	Trp					
991	GGA	GAT	ATA	AGA	CAA	GCA	CAT	TGT	AAC	ATT	AGT	AGA	GCA	AAA	TGG					
	Asn	Asn	Thr	Leu	Gln	Gln	Ile	Val	Ile	Lys	Leu	Arg	Glu	Lys	Phe					
1036	AAT	AAC	ACT	TTA	CAA	CAG	ATA	GTT	ATA	AAA	TTA	AGA	GAA	AAA	TTT					
	Arg	Asn	Lys	Thr	Ile	Ala	Phe	Asn	Gln	Ser	Ser	Gly	Gly	Asp	Pro					
1081	AGG	AAT	AAA	ACA	ATA	GCC	TTT	AAT	CAA	TCC	TCA	GGA	GGG	GAC	CCA					
	Glu	Ile	Val	Met	His	Ser	Phe	Asn	Cys	Gly	Gly	Glu	Phe	Phe	Tyr					
1126	GAA	ATT	GTA	ATG	CAC	AGT	TTT	AAT	TGT	GGA	GGG	GAA	TTC	TTC	TAC					
	Cys	Asn	Thr	Ala	Gln	Leu	Phe	Asn	Ser	Thr	Trp	Asn	Val	Thr	Gly					
1171	TGT	AAT	ACA	GCA	CAA	CTG	TTT	AAT	AGT	ACT	TGG	AAT	GTT	ACT	GGG					

Figure 3B (Continued).  
Sequence and translation of two cDNAs encoding HIV gp120-  
CD154 short form extracellular domain fusion proteins.

Gly Thr Asn Gly Thr Glu Gly Asn Asp Ile Ile Thr Leu Gln Cys  
1216 GGG ACA AAT GGC ACT GAA GGA AAT GAC ATA ATC ACA CTC CAA TGC  
Arg Ile Lys Gln Ile Ile Asn Met Trp Gln Lys Val Gly Lys Ala  
1261 AGA ATA AAA CAA ATT ATA AAT ATG TGG CAG AAA GTA GGA AAA GCA  
Met Tyr Ala Pro Pro Ile Thr Gly Gln Ile Arg Cys Ser Ser Asn  
1306 ATG TAT GCC CCT CCC ATC ACA GGA CAA ATT AGA TGT TCA TCA AAT  
Ile Thr Gly Leu Leu Leu Thr Arg Asp Gly Gly Asn Ser Thr Glu  
1351 ATT ACA GGG CTG CTA CTA ACA AGA GAT GGA GGT AAT AGT ACT GAG  
BglII  
~~~~~  
Thr Glu Thr Glu Ile Phe Arg Pro Gly Gly Gly Asp Met Arg Asp
1396 ACT GAG ACT GAG ATC TTC AGA CCT GGA GGA GGA GAT ATG AGG GAC
Asn Trp Arg Ser Glu Leu Tyr Lys Tyr Lys Val Val Arg Ile Glu
1441 AAT TGG AGA AGT GAA TTA TAT AAA TAT AAA GTA GTA AGA ATT GAA
Pro Ile Gly Val Ala Pro Thr Arg Ala Lys Arg Arg Thr Val Gln
1486 CCA ATA GGA GTA GCA CCC ACC AGG GCA AAG AGA AGA ACA GTG CAA
Arg Glu Lys Arg
1531 AGA GAA AAA AGA
(Gly₄Ser)₃ linker BamHI
Gly Gly Gly Gly Ser Gly Gly Gly Gly Ser Gly Gly Gly Gly Ser Asp Pro
1543 GGG GGA GGC GGT TCA GGA GGT GGA GGT TCT GGA GGT GGC GGA TCG GAT CCA
OR ProAspPro linker
BamHI
Pro Asp Pro
1543 CCG GAT CCA
CD154 SHORT FORM Extracellular Domain
1594GS Glu Asn Ser Phe Glu Met Gln Lys
1552PDP GAA AAC AGC TTT GAA ATG CAA AAA
1618GS Gly Asp Gln Asn Pro Gln Ile Ala Ala His Val Ile Ser Glu Ala
1576PDP GGT GAT CAG AAT CCT CAA ATT GCG GCA CAT GTC ATA AGT GAG GCC
1663GS Ser Ser Lys Thr Thr Ser Val Leu Gln Trp Ala Glu Lys Gly Tyr
1621PDP AGC AGT AAA ACA ACA TCT GTG TTA CAG TGG GCT GAA AAA GGA TAC
1708GS Tyr Thr Met Ser Asn Asn Leu Val Thr Leu Glu Asn Gly Lys Gln
1666PDP TAC ACC ATG AGC AAC AAC TTG GTA ACC CTG GAA AAT GGG AAA CAG
1753GS Leu Thr Val Lys Arg Gln Gly Leu Tyr Tyr Ile Tyr Ala Gln Val
1711PDP CTG ACC GTT AAA AGA CAA GGA CTC TAT TAT ATC TAT GCC CAA GTC
1798GS Thr Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln Ala Pro Phe Ile
1756PDP ACC TTC TGT TCC AAT CGG GAA GCT TCG AGT CAA GCT CCA TTT ATA
1843GS Ala Ser Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu Arg Ile Leu
1801PDP GCC AGC CTC TGC CTA AAG TCC CCC GGT AGA TTC GAG AGA ATC TTA
1888GS Leu Arg Ala Ala Asn Thr His Ser Ser Ala Lys Pro Cys Gly Gln
1846PDP CTC AGA GCT GCA AAT ACC CAC AGT TCC GCC AAA CCT TGC GGG CAA
1933GS Gln Ser Ile His Leu Gly Gly Val Phe Glu Leu Gln Pro Gly Ala
1891PDP CAA TCC ATT CAC TTG GGA GGA GTA TTT GAA TTG CAA CCA GGT GCT
1978GS Ser Val Phe Val Asn Val Thr Asp Pro Ser Gln Val Ser His Gly
1936PDP TCG GTG TTT GTC AAT GTG ACT GAT CCA AGC CAA GTG AGC CAT GGC
XbaI
~~~~~  
2023GS Thr Gly Phe Thr Ser Phe Gly Leu Leu Lys Leu Glu \*\*\* \*\*\* Ser  
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Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | | |
|--|-------------------------------|-------------------|
| DECLARATION FOR UTILITY OR DESIGN
PATENT APPLICATION
(37 CFR 1.63)

<input type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) | Attorney Docket Number | |
| | First Named Inventor | Jeffrey Ledbetter |
| | COMPLETE IF KNOWN | |
| | Application Number | / |
| | Filing Date | |
| | Group Art Unit | |
| | Examiner Name | |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DNA Vaccines Encoding Antigen Linked to a Domain That Binds CD40.

☒ the specification of which is attached hereto (Title of the Invention)
OR
☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
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| | | | | YES | NO |
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☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. |
|-----------------------|--------------------------|--|
| US60/159,690 | 10/14/99 | |

[Page 1 of 2]

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Please type a plus sign (+) inside this box → ☐

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--|---------------------------------|--------------------------------------|
| | | |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number OR
☐ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
Label here

| Name | Registration Number | Name | Registration Number |
|------|---------------------|------|---------------------|
| | | | |

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number OR ☒ Correspondence address below

| | | | | | |
|---------|---------------------------|-----------|----------------|-----|----------------|
| Name | Jeffrey A. Ledbetter | | | | |
| Address | 18798 Ridgfield Road N.W. | | | | |
| Address | | | | | |
| City | Shoreline | State | WA | ZIP | 98177-3227 |
| Country | USA | Telephone | (206) 546-0473 | Fax | (206) 546-6002 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | | | | |
|--|---------------------------|---|----|---------|------------|
| Name of Sole or First Inventor: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle (if any)) | | Family Name or Surname | | | |
| Jeffrey A. | | Ledbetter | | | |
| Inventor's Signature | Jeffrey A. Ledbetter | | | Date | 10/13/00 |
| Residence: City | Shoreline | State | WA | Country | USA |
| Post Office Address | 18798 Ridgfield Road N.W. | | | | |
| Post Office Address | | | | | |
| City | Shoreline | State | WA | ZIP | 98177-3227 |
| | | | | Country | USA |

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → ☐

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page ___ of ___

| | | | | | | | |
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| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| Martha | | | | Hayden-Ledbetter | | | |
| Inventor's Signature | Martha Hayden-Ledbetter | | | Date | 10/13/00 | | |
| Residence: City | Shoreline | State | WA | Country | USA | Citizenship | USA |
| Post Office Address | 18798 Ridgefield Road N.W. | | | | | | |
| Post Office Address | | | | | | | |
| City | Shoreline | State | WA | ZIP | 98177 | Country | USA |
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| | | | | | | | |
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Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SEQUENCE LISTING

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      long form from amino acids 48-261+Glu
      binds CD40

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tctataggac caggagagac attttatgca agaagaaaca taataggaga tataagacaa 180
gcacattgta acattagtgg tggcgggtgc tcaggaggcg gtggatctgg cgggtggagg 240
tcggatccaa gaaggttgga caagatagaa gatgaaagga atcttcatga agattttgta 300
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<222> (73)..(207)
<223> HIV gp120 V3 loop + ProAspPro linker

<220>
<221> misc_feature
<222> (208)..(865)
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long form from amino acids 48-261+G1
binds CD40
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Page 10

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tccaatcggg aagcttcgag tcaagctcca tttatagcca gcctctgcct aaagtccccc 660
ggtagattcg agagaatctt actcagagct gcaaataccc acagttccgc caaaccttgc 720
gggcaacaat ccattcactt gggaggagta tttgaattgc aaccagggtgc ttcggtgttt 780
gtcaatgtga ctgatccaag ccaagtgagc catggcactg gcttcacgtc ctttggttta 840
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<223> HIV gp120 V3 loop plus ProAspPro linker

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      short form from amino acids 108-261+Glu
      binds CD40

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gcacattgta acattagtgg tggcgggtggc tcaggaggcg gtggatctgg cgggtggagg 240
tcggatccag aaaacagctt tgaaatgcaa aaaggatgatc agaatcctca aattgcggca 300
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tctaga 726

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 <223> HIV gp120 V3 loop with ProAspPro linker

<220>
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 short form from amino acids 108-261+Glu
 binds to CD40

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tctataggac cagggagagc attttatgca agaagaaaca taataggaga tataagacaa      180
gcacattgta acattagtcc ggatccagaa aacagctttg aaatgcaaaa aggtgatcag      240
aatcctcaaa ttgcggcaca tgtcataagt gaggccagca gtaaaacaac atctgtgtta      300
cagtgggctg aaaaaggata ctacaccatg agcaacaact tggtaacctt ggaaaatggg      360
aaacagctga ccgttaaaag acaaggactc tattatatct atgcccaagt caccttctgt      420
tccaatcggg aagcttcgag tcaagctcca tttatagcca gcctctgcct aaagtcccc      480
ggtagattcg agagaatctt actcagagct gcaaataccc acagttccgc caaaccttgc      540
gggcaacaat ccattcactt gggaggagta tttgaattgc aaccaggtgc ttcggtgttt      600
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<220>

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 <223> HIV gp120 domain with (gly4ser)3 linker

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 <222> (529)..(742)
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 long form from amino acids 48 (Arg) to 261 (Leu)+Glu

<400> 20

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| Met | Leu | Tyr | Thr | Ser | Gln | Leu | Leu | Gly | Leu | Leu | Leu | Phe | Trp | Ile | Ser | 1 | 5 | 10 | 15 |
| Ala | Ser | Arg | Ser | Met | Leu | Leu | Gly | Ile | Leu | Met | Ile | Cys | Ser | Ala | Thr | 20 | 25 | 30 | |
| Glu | Lys | Leu | Trp | Val | Thr | Val | Tyr | Tyr | Gly | Val | Pro | Val | Trp | Arg | Glu | 35 | 40 | 45 | |
| Ala | Thr | Thr | Thr | Leu | Phe | Cys | Ala | Ser | Asp | Ala | Lys | Ala | Tyr | Asp | Thr | 50 | 55 | 60 | |
| Glu | Val | His | Asn | Val | Trp | Ala | Thr | His | Ala | Cys | Val | Pro | Thr | Asp | Pro | 65 | 70 | 75 | 80 |
| Asn | Pro | Gln | Glu | Val | Val | Leu | Gly | Asn | Val | Thr | Glu | Asn | Phe | Asn | Met | 85 | 90 | 95 | |
| Trp | Lys | Asn | Asn | Met | Val | Asp | Gln | Met | His | Glu | Asp | Ile | Ile | Ser | Leu | 100 | 105 | 110 | |
| Trp | Asp | Glu | Ser | Leu | Lys | Pro | Cys | Val | Lys | Leu | Thr | Pro | Leu | Cys | Val | 115 | 120 | 125 | |
| Thr | Leu | Asn | Cys | Thr | Asn | Leu | Asn | Ile | Thr | Lys | Asn | Thr | Thr | Asn | Pro | 130 | 135 | 140 | |
| Thr | Ser | Ser | Ser | Trp | Gly | Met | Met | Glu | Lys | Gly | Glu | Ile | Lys | Asn | Cys | 145 | 150 | 155 | 160 |
| Ser | Phe | Tyr | Ile | Thr | Thr | Ser | Ile | Arg | Asn | Lys | Val | Lys | Lys | Glu | Tyr | 165 | 170 | 175 | |
| Ala | Leu | Phe | Asn | Arg | Leu | Asp | Val | Val | Pro | Ile | Glu | Asn | Thr | Asn | Asn | 180 | 185 | 190 | |
| Thr | Lys | Tyr | Arg | Leu | Ile | Ser | Cys | Asn | Thr | Ser | Val | Ile | Thr | Gln | Ala | 195 | 200 | 205 | |
| Cys | Pro | Lys | Val | Ser | Phe | Gln | Pro | Ile | Pro | Ile | His | Tyr | Cys | Val | Pro | 210 | 215 | 220 | |
| Ala | Gly | Phe | Ala | Met | Leu | Lys | Cys | Asn | Asn | Lys | Thr | Phe | Asn | Gly | Ser | 225 | 230 | 235 | 240 |
| Gly | Pro | Cys | Thr | Asn | Val | Ser | Thr | Val | Gln | Cys | Thr | His | Gly | Ile | Arg | 245 | 250 | 255 | |
| Pro | Val | Val | Ser | Thr | Gln | Leu | Leu | Leu | Asn | Gly | Ser | Leu | Ala | Glu | Glu | 260 | 265 | 270 | |

| | | | | | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Asp | Ile | Val | Ile | Arg | Ser | Glu | Asn | Phe | Thr | Asp | Asn | Ala | Lys | Thr | Ile |
| | | 275 | | | | | 280 | | | | | 285 | | | |
| Ile | Val | Gln | Leu | Asn | Glu | Ser | Val | Val | Ile | Asn | Cys | Thr | Arg | Pro | Asn |
| | | 290 | | | | | 295 | | | | 300 | | | | |
| Asn | Asn | Thr | Arg | Arg | Arg | Leu | Ser | Ile | Gly | Pro | Gly | Arg | Ala | Phe | Tyr |
| | | | | | 310 | | | | | 315 | | | | | 320 |
| Ala | Arg | Arg | Asn | Ile | Ile | Gly | Asp | Ile | Arg | Gln | Ala | His | Cys | Asn | Ile |
| | | | | 325 | | | | | 330 | | | | | 335 | |
| Ser | Arg | Ala | Lys | Trp | Asn | Asn | Thr | Leu | Gln | Gln | Ile | Val | Ile | Lys | Leu |
| | | | 340 | | | | | 345 | | | | | 350 | | |
| Arg | Glu | Lys | Phe | Arg | Asn | Lys | Thr | Ile | Ala | Phe | Asn | Gln | Ser | Ser | Gly |
| | | 355 | | | | | 360 | | | | | 365 | | | |
| Gly | Asp | Pro | Glu | Ile | Val | Met | His | Ser | Phe | Asn | Cys | Gly | Gly | Glu | Phe |
| | | 370 | | | | 375 | | | | | 380 | | | | |
| Phe | Tyr | Cys | Asn | Thr | Ala | Gln | Leu | Phe | Asn | Ser | Thr | Trp | Asn | Val | Thr |
| | | | | | 390 | | | | | 395 | | | | | 400 |
| Gly | Gly | Thr | Asn | Gly | Thr | Glu | Gly | Asn | Asp | Ile | Ile | Thr | Leu | Gln | Cys |
| | | | | 405 | | | | | 410 | | | | | 415 | |
| Arg | Ile | Lys | Gln | Ile | Ile | Asn | Met | Trp | Gln | Lys | Val | Gly | Lys | Ala | Met |
| | | | 420 | | | | | 425 | | | | | 430 | | |
| Tyr | Ala | Pro | Pro | Ile | Thr | Gly | Gln | Ile | Arg | Cys | Ser | Ser | Asn | Ile | Thr |
| | | 435 | | | | | 440 | | | | | 445 | | | |
| Gly | Leu | Leu | Leu | Thr | Arg | Asp | Gly | Gly | Asn | Ser | Thr | Glu | Thr | Glu | Thr |
| | | 450 | | | | 455 | | | | | 460 | | | | |
| Glu | Ile | Phe | Arg | Pro | Gly | Gly | Gly | Asp | Met | Arg | Asp | Asn | Trp | Arg | Ser |
| | | | | | 470 | | | | | 475 | | | | | 480 |
| Glu | Leu | Tyr | Lys | Tyr | Lys | Val | Val | Arg | Ile | Glu | Pro | Ile | Gly | Val | Ala |
| | | | | 485 | | | | | 490 | | | | | 495 | |
| Pro | Thr | Arg | Ala | Lys | Arg | Arg | Thr | Val | Gln | Arg | Glu | Lys | Arg | Gly | Gly |
| | | | 500 | | | | | 505 | | | | | 510 | | |
| Gly | Gly | Ser | Gly | Gly | Gly | Gly | Ser | Gly | Gly | Gly | Gly | Ser | Asp | Pro | Arg |
| | | 515 | | | | | 520 | | | | | 525 | | | |
| Arg | Leu | Asp | Lys | Ile | Glu | Asp | Glu | Arg | Asn | Leu | His | Glu | Asp | Phe | Val |
| | | 530 | | | | 535 | | | | | 540 | | | | |
| Phe | Met | Lys | Thr | Ile | Gln | Arg | Cys | Asn | Thr | Gly | Glu | Arg | Ser | Leu | Ser |
| | | | | | 550 | | | | | 555 | | | | | 560 |
| Leu | Leu | Asn | Cys | Glu | Glu | Ile | Lys | Ser | Gln | Phe | Glu | Gly | Phe | Val | Lys |
| | | | | 565 | | | | | 570 | | | | | 575 | |
| Asp | Ile | Met | Leu | Asn | Lys | Glu | Glu | Thr | Lys | Lys | Glu | Asn | Ser | Phe | Glu |
| | | | 580 | | | | | 585 | | | | | 590 | | |
| Met | Gln | Lys | Gly | Asp | Gln | Asn | Pro | Gln | Ile | Ala | Ala | His | Val | Ile | Ser |
| | | 595 | | | | | 600 | | | | | 605 | | | |
| Glu | Ala | Ser | Ser | Lys | Thr | Thr | Ser | Val | Leu | Gln | Trp | Ala | Glu | Lys | Gly |
| | | | | | | 615 | | | | | 620 | | | | |

[illegible]

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|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|--|--|----|
| Asn | Pro | Gln | Glu | Val | Val | Leu | Gly | Asn | Val | Thr | Glu | Asn | Phe | Asn | Met | | | |
| | | | | 85 | | | | | 90 | | | | | 95 | | | | |
| Trp | Lys | Asn | Asn | Met | Val | Asp | Gln | Met | His | Glu | Asp | Ile | Ile | Ser | Leu | | | |
| | | | 100 | | | | | 105 | | | | | 110 | | | | | |
| Trp | Asp | Glu | Ser | Leu | Lys | Pro | Cys | Val | Lys | Leu | Thr | Pro | Leu | Cys | Val | | | |
| | | 115 | | | | | 120 | | | | | 125 | | | | | | |
| Thr | Leu | Asn | Cys | Thr | Asn | Leu | Asn | Ile | Thr | Lys | Asn | Thr | Thr | Asn | Pro | | | |
| | 130 | | | | | 135 | | | | | 140 | | | | | | | |
| Thr | Ser | Ser | Ser | Trp | Gly | Met | Met | Glu | Lys | Gly | Glu | Ile | Lys | Asn | Cys | | | |
| 145 | | | | | 150 | | | | | 155 | | | | | 160 | | | |
| Ser | Phe | Tyr | Ile | Thr | Thr | Ser | Ile | Arg | Asn | Lys | Val | Lys | Lys | Glu | Tyr | | | |
| | | | | 165 | | | | | 170 | | | | | 175 | | | | |
| Ala | Leu | Phe | Asn | Arg | Leu | Asp | Val | Val | Pro | Ile | Glu | Asn | Thr | Asn | Asn | | | |
| | | | 180 | | | | | 185 | | | | | 190 | | | | | |
| Thr | Lys | Tyr | Arg | Leu | Ile | Ser | Cys | Asn | Thr | Ser | Val | Ile | Thr | Gln | Ala | | | |
| | | 195 | | | | | 200 | | | | | 205 | | | | | | |
| Cys | Pro | Lys | Val | Ser | Phe | Gln | Pro | Ile | Pro | Ile | His | Tyr | Cys | Val | Pro | | | |
| | 210 | | | | | 215 | | | | | 220 | | | | | | | |
| Ala | Gly | Phe | Ala | Met | Leu | Lys | Cys | Asn | Asn | Lys | Thr | Phe | Asn | Gly | Ser | | | |
| 225 | | | | | 230 | | | | | 235 | | | | | 240 | | | |
| Gly | Pro | Cys | Thr | Asn | Val | Ser | Thr | Val | Gln | Cys | Thr | His | Gly | Ile | Arg | | | |
| | | | | 245 | | | | | 250 | | | | | 255 | | | | |
| Pro | Val | Val | Ser | Thr | Gln | Leu | Leu | Leu | Asn | Gly | Ser | Leu | Ala | Glu | Glu | | | |
| | | | 260 | | | | | 265 | | | | | 270 | | | | | |
| Asp | Ile | Val | Ile | Arg | Ser | Glu | Asn | Phe | Thr | Asp | Asn | Ala | Lys | Thr | Ile | | | |
| | | 275 | | | | | 280 | | | | | 285 | | | | | | |
| Ile | Val | Gln | Leu | Asn | Glu | Ser | Val | Val | Ile | Asn | Cys | Thr | Arg | Pro | Asn | | | |
| | 290 | | | | | 295 | | | | | 300 | | | | | | | |
| Asn | Asn | Thr | Arg | Arg | Arg | Leu | Ser | Ile | Gly | Pro | Gly | Arg | Ala | Phe | Tyr | | | |
| 305 | | | | | 310 | | | | | 315 | | | | | 320 | | | |
| Ala | Arg | Arg | Asn | Ile | Ile | Gly | Asp | Ile | Arg | Gln | Ala | His | Cys | Asn | Ile | | | |
| | | | | 325 | | | | | 330 | | | | | 335 | | | | |
| Ser | Arg | Ala | Lys | Trp | Asn | Asn | Thr | Leu | Gln | Gln | Ile | Val | Ile | Lys | Leu | | | |
| | | | 340 | | | | | 345 | | | | | 350 | | | | | |
| Arg | Glu | Lys | Phe | Arg | Asn | Lys | Thr | Ile | Ala | Phe | Asn | Gln | Ser | Ser | Gly | | | |
| | | 355 | | | | | 360 | | | | | 365 | | | | | | |
| Gly | Asp | Pro | Glu | Ile | Val | Met | His | Ser | Phe | Asn | Cys | Gly | Gly | Glu | Phe | | | |
| | 370 | | | | | 375 | | | | | 380 | | | | | | | |
| Phe | Tyr | Cys | Asn | Thr | Ala | Gln | Leu | Phe | Asn | Ser | Thr | Trp | Asn | Val | Thr | | | |
| 385 | | | | | 390 | | | | | 395 | | | | | 400 | | | |
| Gly | Gly | Thr | Asn | Gly | Thr | Glu | Gly | Asn | Asp | Ile | Ile | Thr | Leu | Gln | Cys | | | |
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 short form from amino acids 108 (Glu) to 261 (Leu)+Glu
 Binds CD40

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Glu Lys Leu Trp Val Thr Val Tyr Tyr Gly Val Pro Val Trp Arg Glu
      35      40      45
Ala Thr Thr Thr Leu Phe Cys Ala Ser Asp Ala Lys Ala Tyr Asp Thr
      50      55      60
Glu Val His Asn Val Trp Ala Thr His Ala Cys Val Pro Thr Asp Pro
      65      70      75      80
Asn Pro Gln Glu Val Val Leu Gly Asn Val Thr Glu Asn Phe Asn Met
      85      90      95
Trp Lys Asn Asn Met Val Asp Gln Met His Glu Asp Ile Ile Ser Leu
      100      105      110
Trp Asp Glu Ser Leu Lys Pro Cys Val Lys Leu Thr Pro Leu Cys Val
      115      120      125
Thr Leu Asn Cys Thr Asn Leu Asn Ile Thr Lys Asn Thr Thr Asn Pro
      130      135      140
Thr Ser Ser Ser Trp Gly Met Met Glu Lys Gly Glu Ile Lys Asn Cys
      145      150      155      160
Ser Phe Tyr Ile Thr Thr Ser Ile Arg Asn Lys Val Lys Lys Glu Tyr
      165      170      175
Ala Leu Phe Asn Arg Leu Asp Val Val Pro Ile Glu Asn Thr Asn Asn
      180      185      190
Thr Lys Tyr Arg Leu Ile Ser Cys Asn Thr Ser Val Ile Thr Gln Ala
      195      200      205
Cys Pro Lys Val Ser Phe Gln Pro Ile Pro Ile His Tyr Cys Val Pro
      210      215      220
Ala Gly Phe Ala Met Leu Lys Cys Asn Asn Lys Thr Phe Asn Gly Ser

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Page 19

Asn Gly Lys Gln Leu Thr Val Lys Arg Gln Gly Leu Tyr Tyr Ile Tyr
 580 585 590

Ala Gln Val Thr Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln Ala Pro
 595 600 605

Phe Ile Ala Ser Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu Arg Ile
 610 615 620

Leu Leu Arg Ala Ala Asn Thr His Ser Ser Ala Lys Pro Cys Gly Gln
 625 630 635 640

Gln Ser Ile His Leu Gly Gly Val Phe Glu Leu Gln Pro Gly Ala Ser
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Val Phe Val Asn Val Thr Asp Pro Ser Gln Val Ser His Gly Thr Gly
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Phe Thr Ser Phe Gly Leu Leu Lys Leu Glu
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 <223> CD154 extracellular domain
 short form from amino acids 108 (Glu) to 261 (Leu)+Glu
 Binds to CD40

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 20 25 30

Glu Lys Leu Trp Val Thr Val Tyr Tyr Gly Val Pro Val Trp Arg Glu
 35 40 45

Ala Thr Thr Thr Leu Phe Cys Ala Ser Asp Ala Lys Ala Tyr Asp Thr
 50 55 60

Glu Val His Asn Val Trp Ala Thr His Ala Cys Val Pro Thr Asp Pro
 65 70 75 80

Asn Pro Gln Glu Val Val Leu Gly Asn Val Thr Glu Asn Phe Asn Met
 85 90 95

Trp Lys Asn Asn Met Val Asp Gln Met His Glu Asp Ile Ile Ser Leu
 100 105 110
 Trp Asp Glu Ser Leu Lys Pro Cys Val Lys Leu Thr Pro Leu Cys Val
 115 120 125
 Thr Leu Asn Cys Thr Asn Leu Asn Ile Thr Lys Asn Thr Thr Asn Pro
 130 135 140
 Thr Ser Ser Ser Trp Gly Met Met Glu Lys Gly Glu Ile Lys Asn Cys
 145 150 155 160
 Ser Phe Tyr Ile Thr Thr Ser Ile Arg Asn Lys Val Lys Lys Glu Tyr
 165 170 175
 Ala Leu Phe Asn Arg Leu Asp Val Val Pro Ile Glu Asn Thr Asn Asn
 180 185 190
 Thr Lys Tyr Arg Leu Ile Ser Cys Asn Thr Ser Val Ile Thr Gln Ala
 195 200 205
 Cys Pro Lys Val Ser Phe Gln Pro Ile Pro Ile His Tyr Cys Val Pro
 210 215 220
 Ala Gly Phe Ala Met Leu Lys Cys Asn Asn Lys Thr Phe Asn Gly Ser
 225 230 235 240
 Gly Pro Cys Thr Asn Val Ser Thr Val Gln Cys Thr His Gly Ile Arg
 245 250 255
 Pro Val Val Ser Thr Gln Leu Leu Leu Asn Gly Ser Leu Ala Glu Glu
 260 265 270
 Asp Ile Val Ile Arg Ser Glu Asn Phe Thr Asp Asn Ala Lys Thr Ile
 275 280 285
 Ile Val Gln Leu Asn Glu Ser Val Val Ile Asn Cys Thr Arg Pro Asn
 290 295 300
 Asn Asn Thr Arg Arg Arg Leu Ser Ile Gly Pro Gly Arg Ala Phe Tyr
 305 310 315 320
 Ala Arg Arg Asn Ile Ile Gly Asp Ile Arg Gln Ala His Cys Asn Ile
 325 330 335
 Ser Arg Ala Lys Trp Asn Asn Thr Leu Gln Gln Ile Val Ile Lys Leu
 340 345 350
 Arg Glu Lys Phe Arg Asn Lys Thr Ile Ala Phe Asn Gln Ser Ser Gly
 355 360 365
 Gly Asp Pro Glu Ile Val Met His Ser Phe Asn Cys Gly Gly Glu Phe
 370 375 380
 Phe Tyr Cys Asn Thr Ala Gln Leu Phe Asn Ser Thr Trp Asn Val Thr
 385 390 395 400
 Gly Gly Thr Asn Gly Thr Glu Gly Asn Asp Ile Ile Thr Leu Gln Cys
 405 410 415
 Arg Ile Lys Gln Ile Ile Asn Met Trp Gln Lys Val Gly Lys Ala Met
 420 425 430
 Tyr Ala Pro Pro Ile Thr Gly Gln Ile Arg Cys Ser Ser Asn Ile Thr


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      435              440              445
Gly Leu Leu Leu Thr Arg Asp Gly Gly Asn Ser Thr Glu Thr Glu Thr
 450              455              460
Glu Ile Phe Arg Pro Gly Gly Gly Asp Met Arg Asp Asn Trp Arg Ser
 465              470              475              480
Glu Leu Tyr Lys Tyr Lys Val Val Arg Ile Glu Pro Ile Gly Val Ala
              485              490              495
Pro Thr Arg Ala Lys Arg Arg Thr Val Gln Arg Glu Lys Arg Pro Asp
              500              505              510
Pro Glu Asn Ser Phe Glu Met Gln Lys Gly Asp Gln Asn Pro Gln Ile
              515              520              525
Ala Ala His Val Ile Ser Glu Ala Ser Ser Lys Thr Thr Ser Val Leu
              530              535              540
Gln Trp Ala Glu Lys Gly Tyr Tyr Thr Met Ser Asn Asn Leu Val Thr
 545              550              555              560
Leu Glu Asn Gly Lys Gln Leu Thr Val Lys Arg Gln Gly Leu Tyr Tyr
              565              570              575
Ile Tyr Ala Gln Val Thr Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln
              580              585              590
Ala Pro Phe Ile Ala Ser Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu
              595              600              605
Arg Ile Leu Leu Arg Ala Ala Asn Thr His Ser Ser Ala Lys Pro Cys
              610              615              620
Gly Gln Gln Ser Ile His Leu Gly Gly Val Phe Glu Leu Gln Pro Gly
 625              630              635              640
Ala Ser Val Phe Val Asn Val Thr Asp Pro Ser Gln Val Ser His Gly
              645              650              655
Thr Gly Phe Thr Ser Phe Gly Leu Leu Lys Leu Glu
              660              665

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<210>  24
<211>  294
<212>  PRT
<213>  HIV-HUMAN FUSION PROTEIN

```

```

<220>
<221>  SIGNAL
<222>  (1)..(20)
<223>  Synthetic secretory signal peptide

```

```

<220>
<221>  DOMAIN
<222>  (21)..(77)
<223>  HIV gp120 V3 loop plus (gly4ser)3 linker

```

```

<220>
<221>  BINDING
<222>  (80)..(294)
<223>  CD154 extracellular domain

```

<400> 24

| | |
|-----------------------|-----|
| $\langle 210 \rangle$ | 25 |
| $\langle 211 \rangle$ | 280 |

```

<212> PRT
<213> HIV-HUMAN FUSION PROTEIN

<220>
<221> SIGNAL
<222> (1)..(20)
<223> Synthetic secretory signal peptide

<220>
<221> DOMAIN
<222> (21)..(65)
<223> HIV gp120 V3 loop plus ProAspPro linker

<220>
<221> BINDING
<222> (66)..(280)
<223> CD154 extracellular domain
long form from amino acids 48 (Arg) to 261 (Leu)+Glu
binds CD40

<400> 25

Met Leu Tyr Thr Ser Gln Leu Leu Gly Leu Leu Leu Phe Trp Ile Ser
1 5 10 15
Ala Ser Arg Ser Val Val Ile Asn Cys Thr Arg Pro Asn Asn Asn Thr
20 25 30
Arg Arg Arg Leu Ser Ile Gly Pro Gly Arg Ala Phe Tyr Ala Arg Arg
35 40 45
Asn Ile Ile Gly Asp Ile Arg Gln Ala His Cys Asn Ile Ser Pro Asp
50 55 60
Pro Arg Arg Leu Asp Lys Ile Glu Asp Glu Arg Asn Leu His Glu Asp
65 70 75 80
Phe Val Phe Met Lys Thr Ile Gln Arg Cys Asn Thr Gly Glu Arg Ser
85 90 95
Leu Ser Leu Leu Asn Cys Glu Glu Ile Lys Ser Gln Phe Glu Gly Phe
100 105 110
Val Lys Asp Ile Met Leu Asn Lys Glu Glu Thr Lys Lys Glu Asn Ser
115 120 125
Phe Glu Met Gln Lys Gly Asp Gln Asn Pro Gln Ile Ala Ala His Val
130 135 140
Ile Ser Glu Ala Ser Ser Lys Thr Thr Ser Val Leu Gln Trp Ala Glu
145 150 155 160
Lys Gly Tyr Tyr Thr Met Ser Asn Asn Leu Val Thr Leu Glu Asn Gly
165 170 175
Lys Gln Leu Thr Val Lys Arg Gln Gly Leu Tyr Tyr Ile Tyr Ala Gln
180 185 190
Val Thr Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln Ala Pro Phe Ile
195 200 205
Ala Ser Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu Arg Ile Leu Leu

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      210              215              220
Arg Ala Ala Asn Thr His Ser Ser Ala Lys Pro Cys Gly Gln Gln Ser
225                230                235                240

Ile His Leu Gly Gly Val Phe Glu Leu Gln Pro Gly Ala Ser Val Phe
          245                250                255

Val Asn Val Thr Asp Pro Ser Gln Val Ser His Gly Thr Gly Phe Thr
          260                265                270

Ser Phe Gly Leu Leu Lys Leu Glu
          275                280

<210>   26
<211>   234
<212>   PRT
<213>   HIV-HUMAN FUSION PROTEIN

<220>
<221>   SIGNAL
<222>   (1)..(20)
<223>   Synthetic secretory signal peptide

<220>
<221>   DOMAIN
<222>   (21)..(77)
<223>   HIV gp120 V3 loop plus (gly4ser)3 linker

<220>
<221>   BINDING
<222>   (80)..(234)
<223>   CD154 extracellular domain
        short form from amino acids 108 (Glu) to 261 (Leu)+Glu
        binds CD40

<400>   26

Met Leu Tyr Thr Ser Gln Leu Leu Gly Leu Leu Leu Phe Trp Ile Ser
1                    5                      10           15

Ala Ser Arg Ser Val Val Ile Asn Cys Thr Arg Pro Asn Asn Asn Thr
          20                25                30

Arg Arg Arg Leu Ser Ile Gly Pro Gly Arg Ala Phe Tyr Ala Arg Arg
          35                40                45

Asn Ile Ile Gly Asp Ile Arg Gln Ala His Cys Asn Ile Ser Gly Gly
          50                55                60

Gly Gly Ser Gly Gly Gly Gly Gly Ser Gly Gly Gly Gly Ser Asp Pro Glu
65                70                75                80

Asn Ser Phe Glu Met Gln Lys Gly Asp Gln Asn Pro Gln Ile Ala Ala
          85                90                95

His Val Ile Ser Glu Ala Ser Ser Lys Thr Thr Ser Val Leu Gln Trp
          100               105                110

Ala Glu Lys Gly Tyr Tyr Thr Met Ser Asn Asn Leu Val Thr Leu Glu
          115                120                125

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| | | | | | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Gln | Trp | Ala | Glu | Lys | Gly | Tyr | Tyr | Thr | Met | Ser | Asn | Asn | Leu | Val | Thr |
| | | | 100 | | | | | 105 | | | | | 110 | | |
| Leu | Glu | Asn | Gly | Lys | Gln | Leu | Thr | Val | Lys | Arg | Gln | Gly | Leu | Tyr | Tyr |
| | | 115 | | | | | 120 | | | | | 125 | | | |
| Ile | Tyr | Ala | Gln | Val | Thr | Phe | Cys | Ser | Asn | Arg | Glu | Ala | Ser | Ser | Gln |
| | 130 | | | | | 135 | | | | | 140 | | | | |
| Ala | Pro | Phe | Ile | Ala | Ser | Leu | Cys | Leu | Lys | Ser | Pro | Gly | Arg | Phe | Glu |
| 145 | | | | | 150 | | | | | 155 | | | | | 160 |
| Arg | Ile | Leu | Leu | Arg | Ala | Ala | Asn | Thr | His | Ser | Ser | Ala | Lys | Pro | Cys |
| | | | | 165 | | | | | 170 | | | | | 175 | |
| Gly | Gln | Gln | Ser | Ile | His | Leu | Gly | Gly | Val | Phe | Glu | Leu | Gln | Pro | Gly |
| | | | 180 | | | | | 185 | | | | | 190 | | |
| Ala | Ser | Val | Phe | Val | Asn | Val | Thr | Asp | Pro | Ser | Gln | Val | Ser | His | Gly |
| | | 195 | | | | | 200 | | | | | 205 | | | |
| Thr | Gly | Phe | Thr | Ser | Phe | Gly | Leu | Leu | Lys | Leu | Glu | | | | |
| | 210 | | | | | 215 | | | | | 220 | | | | |